



# The Cauchy–Neumann and Cauchy–Robin problems for a class of hyperbolic operators with double characteristics in presence of transition

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## Abstract

The mixed Cauchy–Neumann and Cauchy–Robin problems for a class of hyperbolic operators with double characteristics in presence of transition is investigated. Some a priori estimates in Sobolev spaces with negative indexes are proved. Subsequently, existence and uniqueness results for the mixed problems are obtained.

**Keywords** Cauchy–Neumann problem · Cauchy–Robin problem · Hyperbolic equations · Pseudodifferential operators

**Mathematics Subject Classification** 35L20 · 35B45 · 47G30

## 1 Introduction

The aim of the paper is to establish existence and uniqueness results for the Cauchy–Neumann and Cauchy–Robin problems associated to a class of hyperbolic operators with double characteristics in presence of transition.

Let  $\Omega = ]0, +\infty[ \times \Omega_0$ , where  $\Omega_0$  is an open set of  $\mathbb{R}^2$  with Lipschitz boundary. Let  $x = (x_0, x')$  where  $x' = (x_1, x_2)$ , let  $\xi = (\xi_0, \xi')$ , where  $\xi' = (\xi_1, \xi_2)$ . Let  $D' = (\partial_{x_1}, \beta^2(x)\partial_{x_2})$  and let  $L' = (\partial_{x_1} - a_1(x), \beta^2(x)\partial_{x_2} - a_2(x))$ , where  $\beta(x) = x_0 - \alpha(x')$ , being  $\alpha$  a real function. Let  $n = (n_0, n')$  be the external normal versor to the boundary of  $\Omega$ , where  $n' = (n_1, n_2)$ .

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The mixed problems, we will study, are introduced in the sequel. Precisely, the Cauchy–Neumann problem is

$$\begin{cases} Pu = f, & \text{in } \Omega, \\ u|_{\Omega_0} = 0, \frac{du}{dn}|_{\Omega_0} = 0, D'u \cdot n'|_S = 0, \end{cases} \quad (1)$$

and the Cauchy–Robin problem is

$$\begin{cases} Pu = f, & \text{in } \Omega, \\ u|_{\Omega_0} = 0, \frac{du}{dn}|_{\Omega_0} = 0, L'u \cdot n'|_S = 0, \end{cases} \quad (2)$$

where  $S = ]0, +\infty[ \times \partial\Omega_0$  and

$$P = D_{x_0}^2 - D_{x_1}^2 - \beta^2(x)D_{x_2}^2 + \sum_{j=0}^2 a_j(x)D_{x_j} + b(x), \quad \text{in } \Omega, \quad (3)$$

with coefficients belonging in  $C^\infty(\tilde{\Omega})$ , where  $\tilde{\Omega} = [0, +\infty[ \times \tilde{\Omega}_0$ , being  $\tilde{\Omega}_0$  an open set containing  $\Omega_0$ ,  $\text{Im } a_2(x) = (x_0 - \alpha(x'))\tilde{a}_2(x)$ , where  $\tilde{a}_2(x)$  is a real function and  $D_{x_j} = \frac{1}{i}\partial_{x_j}$ ,  $j = 0, 1, 2$ .

For every  $x' = (x_1, x_2)$  and  $\xi = (\xi_0, \xi')$ ,

$$p(x_0, x', \xi) = -\xi_0^2 + \xi_1^2 + (x_0 - \alpha(x'))^2 \xi_2^2$$

is the *principal symbol* of  $P$ ,

$$\Sigma = \{\rho = (x_0, x', \xi) \in T^*\Omega : \xi' \neq 0, p(\rho) = 0, \nabla p(\rho) = 0\}$$

is the characteristic set and

$$F_p(\rho) = \frac{1}{2} \begin{pmatrix} p''_{x\xi}(\rho) & p''_{\xi\xi}(\rho) \\ -p''_{xx}(\rho) & -p''_{\xi x}(\rho) \end{pmatrix}, \quad \forall \rho \in \Sigma.$$

is the *fundamental matrix* of  $P$  at  $\rho$ . The spectrum of  $F_p(\rho)$ ,  $\text{Spec}(F_p(\rho))$ , is very important to analyze the well-posedness of the mixed problems associated to  $P$ .

Hörmander proved (see [9]):

$$z \in \text{Spec}(F_p(\rho)) \Leftrightarrow -z, \bar{z} \in \text{Spec}(F_p(\rho)).$$

We have three possible cases, see for instance [8]. There exists a positive real number  $\lambda$  such that  $\{-\lambda, \lambda\} \subset \text{Spec}(F_p(\rho))$  and  $\text{Spec}(F_p(\rho)) \setminus \{-\lambda, \lambda\} \subset i\mathbb{R}$ , the operator  $P$  is called *effectively hyperbolic at  $\rho$* . We introduce

$$\Sigma_+ = \{\rho \in \Sigma : P \text{ is effectively hyperbolic at } \rho\}.$$

Moreover,  $\text{Spec}(F_p(\rho)) \subset i\mathbb{R}$  and in the Jordan normal form of  $F(\rho)$  corresponding to the eigenvalue 0, there are only Jordan blocks of dimension 2, namely  $\text{Ker} F_p(\rho)^2 \cap \text{Im} F_p(\rho)^2 = \{0\}$ , the operator  $P$  is called *non-effectively hyperbolic of type 1 at  $\rho$* . We set

$$\Sigma_- = \{\rho \in \Sigma : P \text{ is non-effectively hyperbolic of type 1 at } \rho\}.$$

Finally,  $\text{Spec}(F_p(\rho)) \subset i\mathbb{R}$  and in the Jordan normal form of  $F(\rho)$  corresponding to the eigenvalue 0, there is only a Jordan blocks of dimension 4 and no block of dimension 3, namely  $\text{Ker} F_p(\rho)^2 \cap \text{Im} F_p(\rho)^2$  is 2-dimensional, the operator  $P$  is called *non-effectively hyperbolic of type 2 at  $\rho$* . We denote by

$$\Sigma_0 = \{\rho \in \Sigma : P \text{ is non-effectively hyperbolic of type 2 at } \rho\}.$$

Obviously, it follows

$$\Sigma = \Sigma_- \sqcup \Sigma_0 \sqcup \Sigma_+.$$

We say that *we have a transition* exactly if *at least two among the above sets are nonempty*.

In [7] the authors study the well posedness of the Cauchy problem associated to hyperbolic operators with double characteristics in presence of transition in the cases in which  $\Sigma = \Sigma_0 \sqcup \Sigma_+$  or  $\Sigma = \Sigma_0 \sqcup \Sigma_-$ . In [2], a global existence and uniqueness theorem for the Cauchy problem related to the class of hyperbolic operators with double characteristics

$$P = D_{x_0}^2 - D_{x_1}^2 - (x_0 + \lambda - \alpha(x_1))^2 D_{x_2}^2, \quad \lambda \neq 0,$$

depending on the parameter  $\lambda$  in the half-space  $\mathbb{R}^2 \times ]0, +\infty[$  is proved. In [4], the authors consider a mixed Cauchy–Dirichlet problem associated to the previous class of operators in a particular domain of  $\mathbb{R}^3$ , instead in the class, here studied, the coefficient  $\beta$  depends only on  $x_0$ . In [3], a priori estimates for particular test functions useful for the study of a Cauchy–Dirichlet problem are established. In this paper, unlike [7],  $\Sigma = \Sigma_- \sqcup \Sigma_0 \sqcup \Sigma_+$  where all the sets  $\Sigma_-$ ,  $\Sigma_0$  and  $\Sigma_+$  can be nonempty on the same connected components of  $\Sigma$ . Moreover, unlike [5], here some priori estimates for general test functions (not particular test functions as in [5]) useful for proving the existence of solutions to the mixed Cauchy–Neumann and Cauchy–Robin problems are obtained. The class of operators (3) has both the case in which  $F_p(\rho)$  has two distinct real eigenvalues and the case in which all the eigenvalues are purely imaginary numbers can occur (see [1,2]). Precisely, if  $|\partial_{x_1} \alpha(x')| < 1$ ,  $\beta \equiv 0$  and  $\xi_0 = \xi_1 = 0$ , then  $F_p(\rho)$  has two distinct non-zero real eigenvalues. If  $|\partial_{x_1} \alpha(x')| > 1$ ,  $\beta \equiv 0$  and  $\xi_0 = \xi_1 = 0$ ,  $F_p(\rho)$  has two non-zero imaginary eigenvalues. Summarizing, let  $\bar{\Sigma}$  be the set of points  $\rho = (x_0, x', \xi)$  of  $\Sigma$  such that  $\beta \equiv 0$  and  $\xi_0 = \xi_1 = 0$ . It results that  $\rho \in \Sigma_+$  if  $\rho \in \bar{\Sigma}$  and  $|\partial_{x_1} \alpha(x')| < 1$ ,  $\rho \in \Sigma_-$  if  $\rho \in \bar{\Sigma}$  and  $|\partial_{x_1} \alpha(x')| > 1$ , and  $\rho \in \Sigma_0$  if  $\rho \in \bar{\Sigma}$  and  $|\partial_{x_1} \alpha(x')| = 1$ . In this paper, the special class of operators (3), we analyze, has transition from effectively hyperbolic to non-effectively hyperbolic. In [5]

and [6], two classes more general of hyperbolic operators with double characteristics are investigated.

Let us introduce

$$\begin{aligned} \Gamma &= \{x \in \overline{\Omega} : \beta(x) = 0\}, \quad \tilde{\Gamma} = \{x \in \overline{\tilde{\Omega}} : \beta(x) = 0\}, \\ \Gamma' &= \{x \in \Gamma : |\partial_{x_1}\alpha(x')| \geq 1\}, \quad \tilde{\Gamma}' = \{x \in \tilde{\Gamma} : |\partial_{x_1}\alpha(x')| \geq 1\}, \\ \Omega'_0 &= \{x' \in \Omega_0 : \alpha(x') \geq 0, |\partial_{x_1}\alpha(x')| \geq 1\}, \\ \tilde{\Omega}'_0 &= \{x' \in \tilde{\Omega}_0 : \alpha(x') \geq 0, |\partial_{x_1}\alpha(x')| \geq 1\}, \\ S &= \partial\Omega_0 \times [0, +\infty[, \end{aligned}$$

furthermore  $g(x') = \frac{\alpha(x')}{\partial_{x_1}\alpha(x')}$ ,  $h(x') = 1 - \partial_{x_1}g(x')$ , for every  $x' \in \Omega'_0$ .

We consider a quadratic matrix-function  $B = (b_{hk})_{h,k=0,1}$  whose elements are:

$$\begin{aligned} b_{00}(x) &= h(x') - 2\alpha(x')|\tilde{a}_0(x)|, \quad \forall x \in \Gamma', \\ b_{01}(x) &= b_{10}(x) = -g(x')\tilde{a}_0(x) - \alpha(x')\tilde{a}_1(x), \quad \forall x \in \Gamma', \\ b_{11}(x) &= h(x') - 2|g(x')\tilde{a}_1(x)|, \quad \forall x \in \Gamma', \end{aligned}$$

where  $\tilde{a}_0$  and  $\tilde{a}_1$  are the imaginary parts of  $a_0$  and  $a_1$ , respectively.

We suppose

- (i)  $h(x') \in [h_1, h_2]$ ,  $\forall x' \in \tilde{\Omega}'_0$ , with  $0 < h_1 < h_2 < 4$ ;
- (ii) the matrix-function  $B$  is positive definite in  $\tilde{\Gamma}'$ , namely there exists  $M > 0$  such that  $B(x')\eta \cdot \eta \geq M\|\eta\|^2$ ,  $\forall \eta = (\eta_1, \eta_2) \neq (0, 0)$ ,  $\forall x \in \tilde{\Gamma}'$ ;
- (iii) the connected components of the curve  $S \cap \Gamma'$  lie in parallel planes to  $\Omega_0$ .

We observe that if  $\tilde{a}_0 = \tilde{a}_1 = 0$ , on  $\Gamma'$ , assumption (ii) is satisfied.

The main result of the paper is the following existence and uniqueness theorem.

**Theorem 1** *Let  $f \in H^r_{loc}(\overline{\Omega})$ , with  $r \geq 2$ . Let us suppose that assumptions (i), (ii) and (iii) hold. The Cauchy–Neumann problem (1) and Cauchy–Robin problem (2) admit a solution  $u \in H^r_{loc}(\overline{\Omega})$ .*

**Example 1** Let  $P = D^2_{x_0} - D^2_{x_1} - \beta^2(x)D^2_{x_2} + a_0(x)D_{x_0} + \beta(x)(a_1(x)D_{x_1} + a_2(x)D_{x_2}) + b(x)$  be a hyperbolic operator in  $\Omega = ]0, +\infty[ \times \Omega_0$  where  $\beta(x) = x_0 - \frac{x^2_1 + 1}{x^2_2 + 4}$ . It results that  $\partial_{x_1}\alpha(x') = \frac{2x_1}{x^2_2 + 4}$ ,  $g(x') = \frac{x^2_1 + 1}{2x_1}$ ,  $h(x') = \frac{x^2_1 + 1}{2x^2_1}$ , in

$\Omega_0$ . Assumption (i) is verified in  $\Omega_0$ . Assumption (ii) holds if  $\text{Im} |a_0(x)| \leq \frac{1}{2x^2_1}$  in  $\Gamma'$ .

Assumption (iii) is fulfilled if  $\Gamma'$  is constituted by arcs of hyperboles of type  $x^2_1 + 1 = a(x^2_2 + 4)$  ( $4a > 1$ ). For example if  $\Omega_0 = \{x' \in \mathbb{R}^2 : x^2_1 + 1 \leq a(x^2_2 + 4), x^2_2 \leq \gamma(x_1)\}$ , with  $a > 2$  and  $\gamma \in C^1(\mathbb{R})$  such that  $\gamma(x_1) \geq 4(a - 1)$ , assumptions (i) and (iii) are satisfied and assumption (ii) is verified if  $|\text{Im} a_0| < \frac{1}{8a^2}$ . Furthermore we have a transition on  $\Sigma$ .

**Example 2** Let  $P = D_{x_0}^2 - D_{x_1}^2 - \beta^2(x)D_{x_2}^2 + b(x)$  be a hyperbolic operator in  $\Omega = ]0, +\infty[ \times \Omega_0$  with  $\beta(x) = x_0 - (x_1 + x_2)^2$ . We have  $\partial_{x_1}\alpha(x') = 2x_1$ ,  $g(x') = \frac{x_1^2 + x_2^2}{2x_1}$ ,  $h(x') = \frac{x_1^2 + x_2^2}{2x_1}$ , in  $\Omega_0$ . Assumption (i) is verified if  $|x_2| \leq \frac{7}{4}$  and  $|x_1| \geq \frac{1}{2}$ . Assumption (ii) is always satisfied. Assumption (iii) holds if  $\Gamma'$  is constituted by arcs of circumferences with center  $(0, 0)$ . For example if  $\Omega_0$  is the circle in  $\mathbb{R}^2$  with center  $(0, 0)$  and radius  $r$  with  $\frac{1}{2} < r < 2$ , assumptions (i), (ii) and (iii) are fulfilled and we have a transition on  $\Sigma$ .

The paper is organized as follows. In Sect. 2 some preliminary notations and definitions are presented. Section 3 is devoted to a priori estimates near the boundary  $\Omega_0$ . In Sect. 4 a priori estimates away from  $\Omega_0$  are established. Section 5 concerns estimates in Sobolev spaces making use of the pseudodifferential operator theory. Section 6 deals with some global estimates in  $\Omega$ . In Sect. 7 existence and regularity results for solutions to the mixed Cauchy–Neumann and Cauchy–Robin problems are proved. At last, in Sect. 8, a uniqueness result for the mixed problems is obtained.

## 2 Notations and preliminaries

Let  $\alpha = (\alpha_0, \alpha_1, \alpha_2) \in \mathbb{N}_0^3$ . Let  $\partial^\alpha$  be the derivative of order  $|\alpha|$ , let  $\partial_{x_j}^h$  be the derivative of order  $h$  with respect to  $x_j$  and let  $\partial_{x_j, x_p}^h$  be the derivative of order  $h$  with respect to  $x_j$  and  $x_p$ .

We indicate the  $L^2$ -scalar product, the  $L^2$ -norm and the  $H^r$ -norm by  $(\cdot, \cdot)$ ,  $\|\cdot\|$  and  $\|\cdot\|_{H^r}$  ( $r \in \mathbb{N}_0$ ), respectively.

Let  $C_0^\infty(\overline{\Omega})$  be the space of the restrictions to  $\overline{\Omega}$  of functions belonging to  $C_0^\infty(\mathbb{R}^3)$ . For each  $K \subseteq \overline{\Omega}$  compact set, let  $C_0^\infty(K)$  be the set of functions  $\varphi \in C_0^\infty(\overline{\Omega})$  having support contained in  $K$ . Set  $\Omega_k = ]0, k[ \times \Omega_0$ , with  $k > 0$ , let

$$C_0^\infty(\overline{\Omega}_k) = \{u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq ]0, k[ \times \overline{\Omega}_0\}.$$

Let  $S(\mathbb{R}^3)$  be the space of rapidly decreasing functions. In particular, let  $S(\overline{\Omega})$  be the space of the restrictions to  $\overline{\Omega}$  of functions belonging to  $S(\mathbb{R}^3)$ .

Fixed  $s \in \mathbb{R}$ , we consider the following norm

$$\|u\|_{H^{0,s}}^2 = \frac{1}{(2\pi)^2} \int_0^{+\infty} dx_0 \int_{\mathbb{R}^2} (1 + |\xi'|^2)^s |\widehat{u}(x_0, \xi')|^2 d\xi',$$

$$\forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq ]0, +\infty[ \times \Omega_0,$$

where the Fourier transform is computed only with respect to the variable  $x'$ . Let us introduce the pseudodifferential operator  $A_s : C_0^\infty(\Omega) \rightarrow C^\infty(\Omega)$  given by

$$A_s u = \frac{1}{(2\pi)^2} \int_{\mathbb{R}^2} e^{ix' \cdot \xi'} (1 + |\xi'|^2)^{\frac{s}{2}} \widehat{u}(x_0, \xi') d\xi',$$

$$\forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq [0, +\infty[ \times \Omega_0.$$

For every  $\varphi(x') \in C_0^\infty(\Omega_0)$ , the operator  $\varphi A_s u$  extends as a linear continuous operator from  $H_{comp.}^{0,r}(\Omega)$  into  $H_{loc}^{0,r-s}(\Omega)$ , where  $r, s \in \mathbb{R}$ . If  $\text{supp } \varphi \subseteq \Omega_0 \setminus \text{supp } u$ , then  $\varphi A_s u$  is a regularizing operator with respect to the variable  $x'$ . It results

$$\|\varphi A_s u\|_{H^{0,r}} \leq c \|u\|_{H^{0,r'}}, \quad \forall r, r' \in \mathbb{R}, u \in C^\infty(\overline{\Omega}) : \text{supp } u \subseteq [0, +\infty[ \times \Omega_0.$$

We note that the norms  $\|u\|_{H^{0,s}(\Omega)}$  and  $\|A_s u\|_{L^2(\Omega)}$  are equivalent for any  $s \in \mathbb{R}$ . Moreover, let  $H^{0,s}(\Omega_k)$  be the space of  $u \in H^{0,s}(\Omega_k)$  such that  $\text{supp } u \subseteq \Omega_k$ .

Let  $s \in \mathbb{R}$  and  $p \geq 0$ . Let  $H^{p,s}(\mathbb{R}^3)$  be the space of all the distributions on  $\mathbb{R}^3$  such that

$$\|u\|_{H^{p,s}(\mathbb{R}^3)}^2 = \frac{1}{(2\pi)^2} \sum_{|h| \leq p} \int_{\mathbb{R}^3} (1 + |\xi'|^2)^s |\partial_{x_0}^h \widehat{u}(x_0, \xi')|^2 dx_0 d\xi' < +\infty.$$

Let  $H^{p,s}(\Omega)$  be the space of all the restrictions to  $\Omega$  of elements of  $H^{p,s}(\mathbb{R}^3)$  endowed with the norm

$$\|u\|_{H^{p,s}(\Omega)} = \inf_{\substack{U \in H^{p,s}(\mathbb{R}^3) \\ U|_\Omega = u}} \|U\|_{H^{p,s}(\mathbb{R}^3)}.$$

Analogously we can define the space  $H^{p,s}(\Omega_k)$ .

Finally, let us consider the transposed operator  ${}^t P$  given by:

$$\begin{aligned} {}^t P &= -\partial_{x_0}^2 + \partial_{x_1}^2 + (x_0 - \alpha(x'))^2 \partial_{x_2}^2 - 4(x_0 - \alpha(x'))(\partial_{x_2} \alpha) \partial_{x_2} \\ &\quad - \frac{1}{i} \sum_{j=0}^2 a_j(x) \partial_{x_j} - \frac{1}{i} \sum_{j=0}^2 \partial_{x_j} a_j(x) - 2(\partial_{x_2} \alpha)^2 + b(x). \end{aligned}$$

### 3 A priori estimates near the boundary $\Omega_0$

We enunciate the following preliminary result which synthesizes Lemmas 3.1 and 3.2 proved in [5].

**Lemma 1** *Let  $u \in S(\overline{\Omega})$  and let  $p, \alpha_0, \alpha_1, \alpha_2 \in \mathbb{N}_0$ . Then*

$$\|x_0^{\frac{p}{2}} \partial^{\alpha_0, \alpha_1, \alpha_2} u\| \leq \frac{2}{p+1} \|x_0^{\frac{p+2}{2}} \partial^{\alpha_0+1, \alpha_1, \alpha_2} u\| \tag{4}$$

and

$$\int_{\Omega_0} |u(0, x')|^2 dx' \leq 2 \|u\| \|\partial_{x_0} u\|.$$

The proof of the following preliminary result is analogous to the one of Lemma 3.3 in [5] with some modification, therefore for reader’s convenience we write it. As in Lemma 3.3 in [5], let us consider the set

$$I_{k,\delta} = \{x \in \overline{\Omega} : x_0 < k, |x_0 - \alpha(x')| > \delta\},$$

with  $k, \delta$  positive and small enough.

**Lemma 2** *For every  $\varepsilon, \delta > 0$ , there exists  $k > 0$  such that*

$$\begin{aligned} & \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| \leq \varepsilon \|{}^t P u\|, \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq I_{k,\delta}, D'u \cdot n'|_S = 0. \end{aligned}$$

**Proof** Let us denote the principal part of  ${}^t P$  by  ${}^t P_2$ , the part of the first order of  ${}^t P$  by  ${}^t P_1$  and the part of the zero order of  ${}^t P$  by  ${}^t P_0$ .

Integrating by parts and taking into account the boundary conditions, we obtain

$$\begin{aligned} & (e^{\tau x_0} \partial_{x_0} u, {}^t P_2 u) + ({}^t P_2 u, e^{\tau x_0} \partial_{x_0} u) \\ & = 2(e^{\tau x_0} \partial_{x_0} u(x), {}^t P_2 u) \\ & = \tau \|e^{\frac{1}{2}\tau x_0} \partial_{x_0} u\|^2 + \tau \|e^{\frac{1}{2}\tau x_0} \partial_{x_1} u\|^2 + \tau \|e^{\frac{1}{2}\tau x_0} (x_0 - \alpha(x')) \partial_{x_2} u\|^2 \\ & \quad + 2(e^{\tau x_0} (x_0 - \alpha(x')) \partial_{x_2} u, \partial_{x_2} u) \\ & \quad + 4(e^{\tau x_0} \partial_{x_0} u, (x_0 - \alpha(x')) \partial_{x_2} \alpha \partial_{x_2} u) \\ & \quad + 2 \int_S e^{\tau x_0} \partial_{x_1} u \cdot n_1 \partial_{x_0} u d\sigma + 2 \int_S e^{\tau x_0} \beta^2(x) \partial_{x_2} u \cdot n_2 \partial_{x_0} u d\sigma \\ & \quad + \int_{\Omega_0} (\partial_{x_0} u)^2 dx' + \int_{\Omega_0} (\partial_{x_1} u)^2 dx' + \int_{\Omega_0} ((x_0 - \alpha(x')) \partial_{x_2} u)^2 dx' \\ & \geq \tau \|e^{\frac{1}{2}\tau x_0} \partial_{x_0} u\|^2 + \tau \|e^{\frac{1}{2}\tau x_0} \partial_{x_1} u\|^2 + \tau \|e^{\frac{1}{2}\tau x_0} (x_0 - \alpha(x')) \partial_{x_2} u\|^2 \\ & \quad - \frac{2}{\delta} \|e^{\frac{1}{2}\tau x_0} (x_0 - \alpha(x')) \partial_{x_2} u\|^2 - 2 \|e^{\frac{1}{2}\tau x_0} \partial_{x_0} u\|^2 \\ & \quad - 2 \|e^{\frac{1}{2}\tau x_0} |\partial_{x_2} \alpha(x')|^{\frac{1}{2}} (x_0 - \alpha(x')) \partial_{x_2} u\|^2, \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq I_{k,\delta}, D'u \cdot n'|_S = 0. \end{aligned} \tag{5}$$

Moreover, we have

$$\begin{aligned} & (e^{\tau x_0} \partial_{x_0} u, ({}^t P - P_2)u) + (({}^t P - P_2)u, e^{\tau x_0} \partial_{x_0} u) \\ & \geq -c(\|e^{\frac{1}{2}\tau x_0} \partial_{x_0} u\|^2 - \|e^{\frac{1}{2}\tau x_0} \partial_{x_1} u\|^2 - \|e^{\frac{1}{2}\tau x_0} (x_0 - \alpha(x')) \partial_{x_2} u\|^2 \\ & \quad + \|e^{\frac{1}{2}\tau x_0} u\|). \end{aligned} \tag{6}$$

Adding (5) and (6) and applying Lemma 1, it results, for  $\frac{1}{2}\tau x_0 < 1$ ,

$$(e^{\tau x_0} \partial_{x_0} u, {}^t P u) + ({}^t P u, e^{\tau x_0} \partial_{x_0} u)$$

$$\begin{aligned} &\geq \tau \left( \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 \right) - c \left( \frac{1}{\delta} \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 \right. \\ &\quad \left. + \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \|x_0^{\frac{1}{2}} \partial_{x_0} u\|^2 \right). \end{aligned} \tag{7}$$

Making use of (7) and choosing  $x_0 < \frac{1}{\tau}$ , we have

$$\begin{aligned} &\|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 \\ &\leq \frac{1}{\tau} \| {}^t P u \|^2 + \frac{c}{\tau \delta} \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \frac{c}{\tau} \|\partial_{x_0} u\|^2 \\ &\quad + \frac{c}{\tau} \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \frac{c}{\tau} \|\partial_{x_1} u\|^2 + \frac{c}{\tau} \|u\|^2. \end{aligned} \tag{8}$$

Taking into account Lemma 1 and considering  $\tau$  large enough, the claim is achieved. □

Now, we establish the following result.

**Theorem 2** *Let us suppose that assumptions (i), (ii) and (iii) hold. Then, for every  $\varepsilon > 0$ , there exists  $k > 0$  such that*

$$\begin{aligned} &\|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| \leq \varepsilon \| {}^t P u \|, \\ &\forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_k = [0, k[ \times \overline{\Omega}_0, D'u \cdot n'|_S = 0. \end{aligned}$$

**Proof** If  $\Gamma \cap \Omega_0 = \emptyset$ , we are able to use Lemma 2. Hence, the claim is proved. If  $\Gamma \cap \Omega_0 \neq \emptyset$ , we distinguish two regions. More precisely, for every  $\frac{4}{5} < \eta < 1$ , let

$$\Omega_{k,\eta} = \left\{ x \in \overline{\Omega} : x_0 \in [0, k[, (1 - \eta)\alpha(x') \leq x_0 \leq \left( \frac{1}{5} + \eta \right) \alpha(x') \right\}$$

and let  $\Omega_k \setminus \Omega_{k,\eta}$ . Then, let us set

$$\Omega'_{k,\eta} = \{x \in \Omega_{k,\eta} : |\partial_{x_1} \alpha(x')| \geq 1\}, \quad \Omega'_{k,\eta,\eta'} = \{x \in \Omega_{k,\eta} : |\partial_{x_1} \alpha(x')| \geq 1 - \eta'\}.$$

Evidently  $\Omega'_{k,\eta,\eta'} \supseteq \Omega'_{k,\eta}$ . Moreover, we choose  $k, \eta$  and  $\eta'$  such that assumptions (i) and (ii) are satisfied. Let us consider a function  $u \in C_0^\infty(\overline{\Omega})$  with  $\text{supp } u \subseteq \Omega'_{k,\eta,\eta'}$  and  $D'u \cdot n'|_S = 0$ . Let us remark that  $\Omega_{k,\eta} \cap \Omega_0$  has measure zero in  $\mathbb{R}^2$ . Moreover,  $\Omega_{k,\eta} \cap S$  is empty or has measure zero in  $\mathbb{R}^2$ , for  $k$  small enough. Let us consider the inner products:

$$({}^t P u, Au) + (Au, {}^t P u), \quad \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega'_{k,\eta,\eta'}, D'u \cdot n'|_S = 0,$$

where  $Au = x_0 \partial_{x_0} u + g(x') \partial_{x_1} u$ . It results

$$({}^t P u, Au) + (Au, {}^t P u) = ({}^t P_2 u, Au) + (Au, {}^t P_2 u) + ({}^t P_1 u, Au)$$



$$\begin{aligned}
 &+(Au, {}^t P_1 u) + ({}^t P_0 u, Au) + (Au, {}^t P_0 u), \\
 &\forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega'_{k,\eta,\eta'}, \quad D'u \cdot n'|_S = 0.
 \end{aligned}
 \tag{9}$$

Integrating by parts, for every  $u \in C_0^\infty(\overline{\Omega})$  such that  $\text{supp } u \subseteq \Omega'_{k,\eta,\eta'}$ , and  $D'u \cdot n'|_S = 0$ , we have

$$\begin{aligned}
 &({}^t P_2 u, Au) + (Au, {}^t P_2 u) \\
 &= 2({}^t P_2 u, Au) \\
 &= 2({}^t P_2 u, x_0 \partial_{x_0} u) + 2({}^t P_2 u, g(x') \partial_{x_1} u) \\
 &= (\partial_{x_0} u, \partial_{x_0} u) + (\partial_{x_1} u, \partial_{x_1} u) + \left( (x_0 - \alpha(x'))^2 \partial_{x_2} u, \partial_{x_2} u \right) \\
 &\quad + 2 \left( (x_0 - \alpha(x')) x_0 \partial_{x_2} u, \partial_{x_2} u \right) + 4 \left( (x_0 - \alpha(x')) \partial_{x_2} \alpha(x') \partial_{x_2} u, x_0 \partial_{x_0} u \right) \\
 &\quad - (\partial_{x_0} u, \partial_{x_1} g(x') \partial_{x_0} u) - (\partial_{x_1} g(x') \partial_{x_1} u, \partial_{x_1} u) \\
 &\quad + 4 \left( (x_0 - \alpha(x')) \partial_{x_2} \alpha(x') g(x') \partial_{x_2} u, \partial_{x_1} u \right) \\
 &\quad - 2 \left( (x_0 - \alpha(x'))^2 \partial_{x_2} g(x') \partial_{x_2} u, \partial_{x_1} u \right) \\
 &\quad + \left( (x_0 - \alpha(x'))^2 \partial_{x_1} g(x') \partial_{x_2} u, \partial_{x_2} u \right) \\
 &\quad - 2 \left( (x_0 - \alpha(x'))^2 \partial_{x_1} \alpha(x') g(x') \partial_{x_2} u, \partial_{x_2} u \right).
 \end{aligned}$$

From which, it follows

$$\begin{aligned}
 &2({}^t P_2 u, Au) \\
 &= \|h^{\frac{1}{2}}(x') \partial_{x_0} u\|^2 + \|h^{\frac{1}{2}}(x') \partial_{x_1} u\|^2 + \|(4 - h(x'))^{\frac{1}{2}} (x_0 - \alpha(x')) \partial_{x_2} u\|^2 \\
 &\quad + 4 \left( (x_0 - \alpha(x')) \partial_{x_2} \alpha(x') \partial_{x_2} u, x_0 \partial_{x_0} u \right) \\
 &\quad + 4 \left( (x_0 - \alpha(x')) \partial_{x_2} \alpha(x') g(x') \partial_{x_1} u, \partial_{x_2} u \right) \\
 &\quad - 24 \left( (x_0 - \alpha(x'))^2 \partial_{x_2} g(x') \partial_{x_2} u, \partial_{x_1} u \right),
 \end{aligned}
 \tag{10}$$

since we used that the boundary integrals are zero because the set  $\Omega'_{k,\eta,\eta'} \cap \partial\Omega$  has zero measure.

Let us consider

$$\begin{aligned}
 &({}^t P_1 u, Au) + (Au, {}^t P_1 u) \\
 &= -8 \left( (x_0 - \alpha(x')) \partial_{x_2} \alpha(x') \partial_{x_2} u, x_0 \partial_{x_0} u + g(x') \partial_{x_1} u \right) \\
 &\quad - 2 \left( \tilde{a}_0(x) \partial_{x_0} u + \tilde{a}_1(x) \partial_{x_1} u + (x_0 - \alpha(x')) \tilde{a}_2(x) \partial_{x_2} u, x_0 \partial_{x_0} u + g(x') \partial_{x_1} u \right) \\
 &= -8 \left( (x_0 - \alpha(x'))^2 \partial_{x_2} \alpha(x') \partial_{x_2} u, \partial_{x_0} u \right) \\
 &\quad - 8 \left( (x_0 - \alpha(x')) \alpha(x') \partial_{x_2} \alpha(x') \partial_{x_2} u, \partial_{x_0} u \right) \\
 &\quad - 8 \left( (x_0 - \alpha(x')) \partial_{x_2} \alpha(x') g(x') \partial_{x_2} u, \partial_{x_1} u \right) \\
 &\quad - 2 \left( (x_0 - \alpha(x')) \tilde{a}_0(x) \partial_{x_0} u, \partial_{x_0} u \right)
 \end{aligned}$$

$$\begin{aligned}
 & -2(\tilde{a}_0(x)\alpha(x')\partial_{x_0}u, \partial_{x_0}u) - 2(\tilde{a}_0(x)g(x')\partial_{x_0}u, \partial_{x_1}u) \\
 & -2(\tilde{a}_1(x)(x_0 - \alpha(x'))\partial_{x_1}u, \partial_{x_0}u) - 2(\tilde{a}_1(x)\alpha(x')\partial_{x_1}u, \partial_{x_0}u) \\
 & -2(\tilde{a}_1(x)g(x')\partial_{x_1}u, \partial_{x_1}u) - 2(\tilde{a}_2(x)(x_0 - \alpha(x'))^2\partial_{x_2}u, \partial_{x_0}u) \\
 & -2(\tilde{a}_2(x)(x_0 - \alpha(x'))\alpha(x')\partial_{x_2}u, \partial_{x_0}u) \\
 & -2(\tilde{a}_2(x)(x_0 - \alpha(x'))g(x')\partial_{x_2}u, \partial_{x_1}u).
 \end{aligned} \tag{11}$$

Making use of assumptions (i) and (ii), it follows

$$\begin{aligned}
 & \|h^{\frac{1}{2}}(x')\partial_{x_0}u\|^2 + \|h^{\frac{1}{2}}(x')\partial_{x_1}u\|^2 + \|(4 - h(x'))^{\frac{1}{2}}(x_0 - \alpha(x'))\partial_{x_2}u\|^2 \\
 & - 2(\tilde{a}_0(x)\alpha(x')\partial_{x_0}u, \partial_{x_0}u) - 2(\tilde{a}_0(x)g(x')\partial_{x_1}u, \partial_{x_0}u) \\
 & - 2(\tilde{a}_1(x)\alpha(x')\partial_{x_1}u, \partial_{x_0}u) - 2(\tilde{a}_1(x)g(x')\partial_{x_1}u, \partial_{x_1}u) \\
 & \geq L(\|\partial_{x_0}u\|^2 + \|\partial_{x_1}u\|^2) + (4 - h_2)\|(x_0 - \alpha(x'))\partial_{x_2}u\|^2, \\
 & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega'_{k,\eta,\eta'}, D'u \cdot n'|_S = 0.
 \end{aligned} \tag{12}$$

Moreover, we remark that the functions  $\alpha, g, \beta$  are zero on  $\Omega \cap \Gamma$ . As a consequence, we can choose  $k$  small enough and an appropriate  $\eta$  such that (12) holds and it results

$$\begin{aligned}
 & 4\left((x_0 - \alpha(x'))^2\partial_{x_2}\alpha(x')\partial_{x_2}u, \partial_{x_0}u\right) + 4\left((x_0 - \alpha(x'))\partial_{x_2}\alpha(x')g(x')\partial_{x_1}u, \partial_{x_2}u\right) \\
 & + 4\left((x_0 - \alpha(x'))\alpha(x')\partial_{x_2}\alpha(x')\partial_{x_2}u, \partial_{x_0}u\right) \\
 & - 2\left((x_0 - \alpha(x'))\partial_{x_2}\alpha(x')g(x')\partial_{x_2}u, \partial_{x_1}u\right) \\
 & - 2(\tilde{a}_0(x)(x_0 - \alpha(x'))\partial_{x_0}u, \partial_{x_0}u) - 2(\tilde{a}_1(x)(x_0 - \alpha(x'))\partial_{x_1}u, \partial_{x_0}u) \\
 & - 2(\tilde{a}_2(x)(x_0 - \alpha(x'))^2\partial_{x_2}u, \partial_{x_0}u) - 2(\tilde{a}_2(x)(x_0 - \alpha(x'))\alpha(x')\partial_{x_2}u, \partial_{x_0}u) \\
 & - 2(\tilde{a}_2(x)(x_0 - \alpha(x'))g(x')\partial_{x_2}u, \partial_{x_1}u) \\
 & \geq -\delta\left(\|\partial_{x_0}u\|^2 + \|\partial_{x_1}u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2}u\|^2\right),
 \end{aligned} \tag{13}$$

with  $\delta < \min(L, 4 - h_2)$ . Adding (9), (10), (11) and taking into account (13), we have

$$\begin{aligned}
 & \|\partial_{x_0}u\|^2 + \|\partial_{x_1}u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2}u\|^2 \\
 & \leq c|({}^tPu, Au) + (Au, {}^tPu)| \\
 & \leq c\left(\|x_0^{\frac{1}{2}}{}^tPu\| \|x_0^{\frac{1}{2}}\partial_{x_0}u\| \right. \\
 & \left. + \|g^{\frac{1}{2}}(x'){}^tPu\| + \|g^{\frac{1}{2}}(x')\partial_{x_1}u\| \right).
 \end{aligned}$$

For  $k$  small enough and an appropriate  $\eta$ , taking into account the previous inequality and Lemma 1, we obtain

$$\begin{aligned}
 & \|\partial_{x_0}u\| + \|\partial_{x_1}u\| + \|(x_0 - \alpha(x'))\partial_{x_2}u\| + \|u\| \leq \varepsilon \|{}^tPu\|, \\
 & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega'_{k,\eta,\eta'}, D'u \cdot n'|_S = 0,
 \end{aligned} \tag{14}$$

with  $ck < \varepsilon$  and  $c|g(x')| < \varepsilon$  in  $\Omega'_{k,\eta,\eta'}$ .

Now, we consider  $\text{supp } u \subseteq \Omega_{k,\eta} \setminus \overline{\Omega'}_{k,\eta,\eta'}$  and we remind that it results  $|\partial_{x_1} \alpha(x')| < 1$  in  $\Omega_{k,\eta} \setminus \overline{\Omega'}_{k,\eta,\eta'}$ . Integrating by parts, we obtain

$$\begin{aligned} & ({}^t P u, x_0 \partial_{x_0} u) + (x_0 \partial_{x_0} u, {}^t P u) \\ &= \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x')) \partial_{x_2} u\|^2 + 2(x_0(x_0 - \alpha(x')) \partial_{x_2} u, \partial_{x_2} u) \\ & \quad + 4((x_0 - \alpha(x')) \partial_{x_2} \alpha(x'), x_0 \partial_{x_0} u) + ({}^t P_1 u, x_0 \partial_{x_0} u) + (x_0 \partial_{x_0} u, {}^t P_1 u) \\ & \quad + ({}^t P_0 u, x_0 \partial_{x_0} u) + (x_0 \partial_{x_0} u, {}^t P_0 u), \\ & \forall u \in C_0^\infty(\Omega_{k,\eta} \setminus \overline{\Omega'}_{k,\eta,\eta'}) : D' u \cdot n'|_S = 0. \end{aligned}$$

Making use of the previous inequality for  $k$  small enough and Lemma 1, it follows

$$\begin{aligned} & \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x')) \partial_{x_2} u\|^2 + \|u\|^2 \\ & \leq \varepsilon \|{}^t P u\|^2 + \varepsilon \|(x_0 - \alpha(x'))^{\frac{1}{2}} \partial_{x_2} u\|^2. \end{aligned} \tag{15}$$

In order to estimate  $\|(x_0 - \alpha(x'))^{\frac{1}{2}} \partial_{x_2} u\|^2$ , we consider the inner products  $(\partial_{x_0} u, {}^t P u) + ({}^t P u, \partial_{x_0} u)$  and integrate by parts in the principal part for  $x_0 \leq \alpha(x')$  and, then, for  $x_0 \geq \alpha(x')$ . In particular, for  $x_0 \leq \alpha(x')$ , it results

$$\begin{aligned} & -((x_0 - \alpha(x')) \partial_{x_2} u, \partial_{x_2} u) + \int_{\Gamma} ((\partial_{x_0} u)^2 + 2\partial_{x_1} \alpha(x') \partial_{x_0} u \partial_{x_1} u + (\partial_{x_1} u)^2) d\sigma \\ & \quad - 4((x_0 - \alpha(x')) \partial_{x_2} \alpha(x') \partial_{x_2} u, \partial_{x_0} u) \\ &= -({}^t (P - P_2) u, \partial_{x_0} u) - (\partial_{x_0} u, {}^t (P - P_2) u) \\ & \quad + ({}^t P u, \partial_{x_0} u) + (\partial_{x_0} u, {}^t P u), \quad \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{k,\eta} \setminus \Omega'_{k,\eta,\eta'}. \end{aligned} \tag{16}$$

Moreover, for  $x_0 \geq \alpha(x')$ , we have

$$\begin{aligned} & -((x_0 - \alpha(x')) \partial_{x_2} u, \partial_{x_2} u) + \int_{\Gamma} ((\partial_{x_0} u)^2 + 2\partial_{x_1} \alpha(x') \partial_{x_0} u \partial_{x_1} u + (\partial_{x_1} u)^2) d\sigma \\ & \quad - 4((x_0 - \alpha(x')) \partial_{x_2} \alpha(x') \partial_{x_2} u, \partial_{x_0} u) \\ &= -({}^t (P - P_2) u, \partial_{x_0} u) - (\partial_{x_0} u, {}^t (P - P_2) u) + ({}^t P u, \partial_{x_0} u) \\ & \quad + (\partial_{x_0} u, {}^t P u), \quad \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{k,\eta} \setminus \Omega'_{k,\eta,\eta'}. \end{aligned} \tag{17}$$

Adding (16) and (17), and taking into account that  $|\partial_{x_1} \alpha(x')| < 1$  in the considered part, we get

$$\begin{aligned} & \|(x_0 - \alpha(x'))^{\frac{1}{2}} \partial_{x_2} u\|^2 \\ & \leq c(\|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x')) \partial_{x_2} u\|^2 + \|u\|^2 + \|{}^t P u\|^2). \end{aligned} \tag{18}$$

Making use of (18), (15) and Lemma 1, for  $k$  small enough and, hence,  $\varepsilon$  small enough, it follows

$$\begin{aligned} & \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 \leq \varepsilon \|{}^t P u\|^2, \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{k,\eta} \setminus \Omega'_{k,\eta,\eta'}, \quad D'u \cdot n'|_S = 0. \end{aligned} \tag{19}$$

Let us consider  $u \in C_0^\infty(\overline{\Omega})$  such that  $\text{supp } u \subseteq \Omega_k \setminus \overline{\Omega}_{k,\eta}$  and compute the following inner products

$$\begin{aligned} & ({}^t P u, x_0 \partial_{x_0} u) + (x_0 \partial_{x_0} u, {}^t P u) \\ &= \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \frac{1}{2} \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 \\ & \quad + \left( \left( \frac{1}{2} (x_0 - \alpha(x'))^2 + 2x_0(x_0 - \alpha(x')) \right) \partial_{x_2} u, \partial_{x_2} u \right) \\ & \quad + 2 \int_S (\partial_{x_1} u \cdot n_1 + \beta^2(x) \partial_{x_2} u \cdot n_2) x_0 \partial_{x_0} u \, d\sigma \\ & \quad + 4(x_0(x_0 - \alpha(x'))\partial_{x_2} \alpha(x')\partial_{x_2} u, \partial_{x_0} u) \\ & \quad + ({}^t (P - P_2) u, x_0 \partial_{x_0} u) + (x_0 \partial_{x_0} u, {}^t (P - P_2) u) \\ & \geq \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 \\ & \quad - c \left( \|x_0^{\frac{1}{2}} \partial_{x_0} u\|^2 + \|x_0^{\frac{1}{2}} \partial_{x_1} u\|^2 + \|x_0^{\frac{1}{2}} (x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \|x_0^{\frac{1}{2}} u\|^2 \right) \\ & \quad + \left( \left( \frac{1}{2} (x_0 - \alpha(x'))^2 + 2x_0(x_0 - \alpha(x')) \right) \partial_{x_2} u, \partial_{x_2} u \right). \end{aligned} \tag{20}$$

Making use of Lemma 1 and taking  $\frac{4}{5} < \eta < 1$ , we have  $\frac{1}{2}(x_0 - \alpha(x'))^2 + 2x_0(x_0 - \alpha(x')) > 0$ , in  $\Omega_k \setminus \overline{\Omega}_{k,\eta}$ . For  $k$  small enough, it results

$$\begin{aligned} & \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| \leq \varepsilon \|{}^t P u\|, \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_k \setminus \Omega_{k,\eta}, \quad D'u \cdot n'|_S = 0. \end{aligned} \tag{21}$$

Since  $\Omega_0 \cap \Gamma$  has zero measure, without lost generality, we consider  $u \in C_0^\infty(\overline{\Omega})$  with  $\text{supp } u \subseteq \Omega_k \setminus (\Omega_0 \cap \Gamma)$ . Let  $\varphi \in C_0^\infty(\overline{\Omega})$ , with  $\varphi \equiv 1$  on  $\Omega_{k,\frac{4}{5}} \cap \text{supp } u$ ,  $\text{supp } \varphi \subseteq \Omega_{k,\eta_1}$ , with  $\eta_1 > \frac{4}{5}$  and  $0 \leq \varphi \leq 1$  in  $\overline{\Omega}$ . Furthermore, let  $\varphi' \in C_0^\infty(\overline{\Omega})$ , with  $\varphi' \equiv 1$  on  $\Omega'_{k,\eta}$  and  $\text{supp } \varphi\varphi' \subseteq \Omega_{k,\eta_1,\eta'}$ . We rewrite (14) for  $\varphi\varphi'u$ , with  $u \in C_0^\infty(\overline{\Omega})$ , and for  $k$  small enough:

$$\|\partial_{x_0} \varphi\varphi'u\| + \|\partial_{x_1} \varphi\varphi'u\| + \|(x_0 - \alpha(x'))\partial_{x_2} \varphi\varphi'u\| \leq \varepsilon \|{}^t P \varphi\varphi'u\|.$$

Taking into account (19), we have

$$\begin{aligned} & \|\partial_{x_0} \varphi(1 - \varphi')u\| + \|\partial_{x_1} \varphi(1 - \varphi')u\| \\ & + \|(x_0 - \alpha(x'))\partial_{x_2} \varphi(1 - \varphi')u\| \leq \varepsilon \|{}^t P \varphi(1 - \varphi')u\|. \end{aligned}$$

Adding the previous inequalities and taking  $\varepsilon$  small enough, we obtain

$$\|\partial_{x_0}\varphi u\| + \|\partial_{x_1}\varphi u\| + \|(x_0 - \alpha(x'))\partial_{x_2}\varphi u\| + \|\varphi u\| \leq \varepsilon \|^t P\varphi u\|.$$

With analogous techniques, it follows

$$\begin{aligned} & \|\partial_{x_0}\varphi u\| + \|\partial_{x_1}\varphi u\| + \|(x_0 - \alpha(x'))\partial_{x_2}\varphi u\| + \|\varphi u\| \\ & \leq \varepsilon (\|\varphi \|^t P u\| + \|[\|^t P, \varphi]u\|) \\ & \leq \varepsilon \|^t P u\| + c\varepsilon (\|\partial_{x_0}u\| + \|\partial_{x_1}u\| + \|(x_0 - \alpha(x'))\partial_{x_2}u\| + \|u\|). \end{aligned} \tag{22}$$

Then, set  $\psi = 1 - \varphi$ , we rewrite (21) for  $\psi u$

$$\begin{aligned} & \|\partial_{x_0}\psi u\| + \|\partial_{x_1}\psi u\| + \|(x_0 - \alpha(x'))\partial_{x_2}\psi u\| + \|\psi u\| \\ & \leq \varepsilon \|^t P\psi u\| \\ & \leq \varepsilon (\|\psi \|^t P u\| + \|[\|^t P, \psi]u\|) \\ & \leq \varepsilon \|^t P u\| + c\varepsilon (\|\partial_{x_0}u\| + \|\partial_{x_1}u\| + \|(x_0 - \alpha(x'))\partial_{x_2}u\| + \|u\|). \end{aligned} \tag{23}$$

Adding (22) and (23), it follows

$$\begin{aligned} & \|\partial_{x_0}u\| + \|\partial_{x_1}u\| + \|(x_0 - \alpha(x'))\partial_{x_2}u\| + \|u\| \\ & \leq \varepsilon \|^t P u\| + c\varepsilon (\|\partial_{x_0}u\| + \|\partial_{x_1}u\| + \|(x_0 - \alpha(x'))\partial_{x_2}u\| + \|u\|). \end{aligned}$$

Then, taking  $\varepsilon$  small enough, the claim is achieved. □

Now, we prove the counterpart results for the Cauchy–Robin problem.

**Lemma 3** *For every  $\varepsilon, \delta > 0$  there exists  $k > 0$  such that*

$$\begin{aligned} & \|\partial_{x_0}u\| + \|\partial_{x_1}u\| + \|(x_0 - \alpha(x'))\partial_{x_2}u\| + \|u\| \leq \varepsilon \|^t P u\|, \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq I_{k,\delta}, L'u \cdot n'|_S = 0. \end{aligned}$$

**Proof** Integrating by parts, for every  $u \in C_0^\infty(\overline{\Omega})$  such that  $\text{supp } u \subseteq I_{k,\delta}$  and  $L'u \cdot n'|_S = 0$ , we have

$$\begin{aligned} & (\|^t P_2 u, x_0 \partial_{x_0} u) + (x_0 \partial_{x_0} u, \|^t P_2 u) \\ & = \|\partial_{x_0}u\|^2 + \|\partial_{x_1}u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2}u\|^2 + 2(x_0(x_0 - \alpha(x'))\partial_{x_2}u, \partial_{x_2}u) \\ & \quad + 4(x_0(x_0 - \alpha(x'))\partial_{x_2}\alpha(x')\partial_{x_2}u, \partial_{x_0}u) \\ & \quad + 2 \int_S (\partial_{x_1}u \cdot n_1 + \beta^2(x)\partial_{x_2}u \cdot n_2) x_0 \partial_{x_0}u \, d\sigma \\ & = \|\partial_{x_0}u\|^2 + \|\partial_{x_1}u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2}u\|^2 + 2(x_0(x_0 - \alpha(x'))\partial_{x_2}u, \partial_{x_2}u) \\ & \quad + 4(x_0(x_0 - \alpha(x'))\partial_{x_2}\alpha(x')\partial_{x_2}u, \partial_{x_0}u) \\ & \quad + 2 \int_S (\tilde{a}_1(x)n_1 + \beta^2(x)\tilde{a}_2(x)n_2) ux_0 \partial_{x_0}u \, d\sigma, \end{aligned} \tag{24}$$

where we take into account that  $L'u \cdot n'|_S = 0$ . It results

$$\begin{aligned}
 & \int_S (\tilde{a}_1(x)n_1 + \beta^2(x)\tilde{a}_2(x)n_2)u x_0 \partial_{x_0} u d\sigma \\
 &= \int_{\Omega_k} x_0 \partial_{x_1} (\tilde{a}_1(x)u \partial_{x_0} u) dx + \int_{\Omega_k} x_0 \partial_{x_2} (\tilde{a}_2(x)(x_0 - \alpha(x'))u \partial_{x_0} u) dx \\
 &= \frac{1}{2} (x_0 \partial_{x_1} \tilde{a}_1(x), \partial_{x_0} u^2) - (\tilde{a}_1(x)u, \partial_{x_1} u) - (x_0 \partial_{x_0} \tilde{a}_0(x)u, \partial_{x_1} u) \\
 & \quad + \frac{1}{2} (x_0 \partial_{x_2} (\tilde{a}_2(x)(x_0 - \alpha(x'))), \partial_{x_0} u^2) - (\tilde{a}_2(x)(x_0 - \alpha(x'))u, \partial_{x_2} u) \\
 & \quad - (x_0 \partial_{x_0} (\tilde{a}_2(x)(x_0 - \alpha(x'))u), \partial_{x_2} u). \tag{25}
 \end{aligned}$$

Making use of (24), (25) and Lemma 1, it follows

$$\begin{aligned}
 & ({}^t P_2 u, x_0 \partial_{x_0} u) + (x_0 \partial_{x_0} u, {}^t P_2 u) \\
 & \geq \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 - \frac{1}{\delta} \|x_0^{\frac{1}{2}}(x_0 - \alpha(x'))\partial_{x_2} u\|^2 \\
 & \quad - c(\|x_0^{\frac{1}{2}} \partial_{x_0} u\|^2 - \|x_0^{\frac{1}{2}} \partial_{x_1} u\|^2 - \|x_0^{\frac{1}{2}}(x_0 - \alpha(x'))\partial_{x_2} u\|^2 - \|x_0^{\frac{1}{2}} u\|^2). \tag{26}
 \end{aligned}$$

On the other hand, we have

$$\begin{aligned}
 & ({}^t(P - P_2)u, x_0 \partial_{x_0} u) + (x_0 \partial_{x_0} u, {}^t(P - P_2)u) \\
 & \geq -c(\|x_0^{\frac{1}{2}} \partial_{x_0} u\|^2 - \|x_0^{\frac{1}{2}} \partial_{x_1} u\|^2 - \|x_0^{\frac{1}{2}}(x_0 - \alpha(x'))\partial_{x_2} u\|^2 - \|x_0^{\frac{1}{2}} u\|^2). \tag{27}
 \end{aligned}$$

Adding (26) and (27) and using Lemma 1, we obtain

$$\begin{aligned}
 & \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \|u\|^2 \\
 & \leq \|x_0^{\frac{1}{2}} \partial_{x_0} u\| \|x_0^{\frac{1}{2}} {}^t P u\| + \frac{1}{\delta} \|x_0^{\frac{1}{2}}(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + c(\|x_0^{\frac{1}{2}} \partial_{x_0} u\|^2 \\
 & \quad + \|x_0^{\frac{1}{2}} \partial_{x_1} u\|^2 + \|x_0^{\frac{1}{2}}(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \|x_0^{\frac{1}{2}} u\|^2),
 \end{aligned}$$

from which the claim follows taking  $x_0 \leq k$  and  $k$  small enough. □

We prove the following result by using similar arguments as above.

**Theorem 3** *Let us suppose that assumptions (i), (ii) and (iii) hold. It results that for every  $\varepsilon > 0$  there exists  $k > 0$  such that*

$$\begin{aligned}
 & \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| \leq \varepsilon \|{}^t P u\|, \\
 & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_k = [0, k[\times \Omega_0, L'u \cdot n'|_S = 0.
 \end{aligned}$$

**Proof** We can proceed as in the proof of Theorem 2 making use of Lemma 3 instead of Lemma 2. Moreover, the integral  $2 \int_S (\partial_{x_1} u \cdot n_1 + \beta^2(x)\partial_{x_2} u \cdot n_2) x_0 \partial_{x_0} u d\sigma$  in (20)

has been estimated as in (25). More precisely, using the same arguments in (25), we obtain

$$2 \int_S \left( \partial_{x_1} u \cdot n_1 + \beta^2(x) \partial_{x_2} u \cdot n_2 \right) x_0 \partial_{x_0} u \, d\sigma \geq -c \left( \|x_0^{\frac{1}{2}} \partial_{x_0} u\|^2 + \|x_0^{\frac{1}{2}} \partial_{x_1} u\|^2 + \|x_0^{\frac{1}{2}} (x_0 - \alpha(x')) \partial_{x_2} u\|^2 - \|x_0^{\frac{1}{2}} u\|^2 \right)^2.$$

As a consequence, the analogous estimate of (20) can be deduced. □

Taking into account Theorems 2 and 3, we deduce easily the next theorem.

**Theorem 4** *Let us suppose that assumptions (i), (ii) and (iii) hold. It results that for every  $\varepsilon > 0$  there exists  $k > 0$  such that*

$$\|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x')) \partial_{x_2} u\| + \|u\| \leq \varepsilon \|{}^t P u\|, \quad \forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u \subseteq \tilde{\Omega}_k = [0, k] \times \tilde{\Omega}_0.$$

#### 4 A priori estimates array from $\Omega_0$

Let us set

$$\begin{aligned} \Omega_{\bar{x}_0, k, \eta} &= \left\{ x \in \bar{\Omega} : x_0 \in [\bar{x}_0, \bar{x}_0 + k[, \right. \\ &\quad \left. \eta \bar{x}_0 + (1 + \eta) \alpha(x') \leq x_0 \leq \left( \frac{1}{5} + \eta \right) \alpha(x') + \left( \frac{4}{5} - \eta \right) \bar{x}_0 \right\}, \\ \Omega'_{\bar{x}_0, k, \eta} &= \{ x \in \Omega_{\bar{x}_0, k, \eta} : |\partial_{x_1} \alpha(x')| \geq 1 \}, \\ \Omega'_{\bar{x}_0, k, \eta, \eta'} &= \{ x \in \Omega_{\bar{x}_0, k, \eta} : |\partial_{x_1} \alpha(x')| \geq 1 - \eta' \}, \end{aligned}$$

where  $\bar{x}_0 > 0$ ,  $\frac{4}{5} < \eta < 1$ ,  $k > 0$ . Evidently  $\Omega'_{\bar{x}_0, k, \eta, \eta'} \supseteq \Omega'_{\bar{x}_0, k, \eta}$ . Moreover, it is possible to choose  $k, \eta, \eta'$  such that assumptions (i) and (ii) are verified in  $\Omega'_{\bar{x}_0, k, \eta, \eta'}$ .

The following result holds.

**Theorem 5** *Let us assume that assumptions (i), (ii) and (iii) hold. Then, for every  $\bar{x}_0 > 0$  and for every  $\varepsilon > 0$  there exists  $k > 0$  such that*

$$\begin{aligned} \|(x_0 - \alpha(x')) \partial_{x_2} u\| &\leq \varepsilon \left( \|{}^t P u\| + \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|u\| \right), \\ \forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u &\subseteq \Omega_{\bar{x}_0, k, \eta}, \quad D' u \cdot n'|_S = 0. \end{aligned} \tag{28}$$

Moreover, for every  $\bar{x}_0 > 0$  there exist  $k > 0$  and  $c > 0$  such that

$$\begin{aligned} \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x')) \partial_{x_2} u\| + \|u\| &\leq c \|{}^t P u\|, \\ \forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u &\subseteq \Omega_{\bar{x}_0, k, \eta}, \quad D' u \cdot n'|_S = 0. \end{aligned} \tag{29}$$

**Proof** If the intersection between  $\Gamma$  and the plane  $x_0 = \bar{x}_0$  is empty, integrating by parts, as in the proof of Lemma 2, in the following inner products

$$\left( e^{\tau(x_0 - \bar{x}_0)} {}^t P u, \partial_{x_0} u \right) + \left( e^{\tau(x_0 - \bar{x}_0)} \partial_{x_0} u, {}^t P u \right)$$

we easily obtain that for every  $\varepsilon > 0$  there exists  $k > 0$  such that

$$\begin{aligned} \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x')) \partial_{x_2} u\| + \|u\| &\leq \varepsilon \|{}^t P u\|, \\ \forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u &\subseteq \Omega_{\bar{x}_0, k}, \quad D' u \cdot n'|_S = 0. \end{aligned}$$

If the intersection between  $\Gamma$  and the plane  $x_0 = \bar{x}_0$  is nonempty, we proceed as follows. We remark that the intersection between  $\Omega_{\bar{x}_0, k, \eta}$  and the plane  $x_0 = \bar{x}_0$  has zero measure. Moreover, the intersection between  $\Omega_{\bar{x}_0, k, \eta}$  and the surface  $S$  is empty or has zero measure, for  $k$  small enough. Let us set

$$g_{\bar{x}_0}(x') = \frac{\alpha(x') - \bar{x}_0}{\partial_{x_1} \alpha(x')}, \quad h_{\bar{x}_0}(x') = \left| \frac{\partial_{x_1}^2 \alpha(x') (\alpha(x') - \bar{x}_0)}{(\partial_{x_1} \alpha(x'))^2} \right|.$$

Let us observe that, for a fixed  $\varepsilon > 0$ , there exists  $k > 0$  such that  $|x_0 - \bar{x}_0| < \varepsilon$ ,  $|\alpha(x') - \bar{x}_0| < \varepsilon$ ,  $|g_{\bar{x}_0}(x')| < \varepsilon$ ,  $|h_{\bar{x}_0}(x')| < \varepsilon$ , for every  $x \in \Omega_{\bar{x}_0, k, h}$ . Let  $A_{\bar{x}_0} u = g_{\bar{x}_0}(x') \partial_{x_1} u + (x_0 - \bar{x}_0) \partial_{x_0} u$ . Integrating by parts in the following inner products

$$({}^t P u, A_{\bar{x}_0} u) + (A_{\bar{x}_0} u, {}^t P u)$$

and since the intersections between  $\Omega_{\bar{x}_0, k, \eta}$  and the plane  $x_0 = \bar{x}_0$  and the surface  $S$ , respectively, have zero measure, we have

$$\begin{aligned} &(h_{\bar{x}_0}(x') \partial_{x_0} u, \partial_{x_0} u) + (h_{\bar{x}_0}(x') \partial_{x_1} u, \partial_{x_1} u) + ((4 - h_{\bar{x}_0}(x')) (x_0 - \alpha(x'))^2 \partial_{x_2} u, \partial_{x_2} u) \\ &\leq 4 |(g_{\bar{x}_0}(x') (x_0 - \alpha(x')) \partial_{x_2} \alpha(x') \partial_{x_2} u, \partial_{x_1} u)| \\ &\quad + 4 |((x_0 - \bar{x}_0) (x_0 - \alpha(x')) \partial_{x_2} \alpha(x') \partial_{x_2} u, \partial_{x_0} u)| \\ &\quad + 2 |((x_0 - \alpha(x'))^2 \partial_{x_2} g_{\bar{x}_0}(x') \partial_{x_2} u, \partial_{x_2} u)| + 2 |\text{Re}({}^t P_1 u, A_{\bar{x}_0} u)| \\ &\quad + 2 |\text{Re}({}^t P_0 u, A_{\bar{x}_0} u)| + |({}^t P u, A_{\bar{x}_0} u)| + |(A_{\bar{x}_0} u, {}^t P u)|, \\ &\forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k, h}, \quad D' u \cdot n'|_S = 0. \end{aligned}$$

This implies

$$\begin{aligned} &(4 - \varepsilon) \|(x_0 - \alpha(x')) \partial_{x_2} u\|^2 \\ &\leq c \varepsilon \left( \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x')) \partial_{x_2} u\|^2 + \|u\|^2 \right) + \varepsilon \|{}^t P u\|^2, \\ &\forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k, \eta, \eta'}, \quad D' u \cdot n'|_S = 0. \end{aligned}$$

Hence, taking  $\varepsilon$  small enough, we deduce

$$\|(x_0 - \alpha(x')) \partial_{x_2} u\| \leq \varepsilon (\|\partial_{x_0} u\| + \|\partial_{x_1} u\|) + \varepsilon \|{}^t P u\|,$$



$$\forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k, \eta, \eta'}, \quad D'u \cdot n'|_S = 0. \quad (30)$$

For  $\varepsilon$  small enough, it follows

$$\begin{aligned} \|(x_0 - \alpha(x'))\partial_{x_2}u\| &\leq \varepsilon (\|\partial_{x_0}u\| + \|\partial_{x_1}u\|) + \varepsilon \|^tPu\|, \\ \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u &\subseteq \Omega'_{\bar{x}_0, k, \eta, \eta'}, \quad D'u \cdot n'|_S = 0. \end{aligned} \quad (31)$$

Now, we obtain (29) integrating by parts in the following inner products:

$$({}^tPu, Au) + (Au, {}^tPu).$$

In fact, we have

$$\begin{aligned} &h_1\|\partial_{x_0}u\|^2 + h_1\|\partial_{x_1}u\|^2 + (4 - h_2)\|(x_0 - \alpha(x'))\partial_{x_2}u\|^2 \\ &\leq c\|(x_0 - \alpha(x'))\partial_{x_2}u\| (\|\partial_{x_0}u\| + \|\partial_{x_1}u\|) + c\|(x_0 - \alpha(x'))\partial_{x_0}u\| \\ &\quad + \|(x_0 - \alpha(x'))\partial_{x_1}u\| + c\|(x_0 - \alpha(x'))\partial_{x_1}u\| \\ &\quad + c\|u\| (\|\partial_{x_0}u\| + \|\partial_{x_1}u\|) + c\|^tPu\| (\|\partial_{x_0}u\| + \|\partial_{x_1}u\|), \\ &\forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega'_{\bar{x}_0, k, \eta, \eta'}, \quad \frac{4}{5} \leq h < 1, \quad D'u \cdot n'|_S = 0. \end{aligned} \quad (32)$$

Making use of (32) and Lemma 1, it follows

$$\begin{aligned} &\|\partial_{x_0}u\| + \|\partial_{x_1}u\| + \|(x_0 - \alpha(x'))\partial_{x_2}u\| + \|u\| \leq c\|^tPu\|, \\ \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u &\subseteq \Omega'_{\bar{x}_0, k, \eta, \eta'}, \quad \frac{4}{5} \leq h < 1, \quad D'u \cdot n'|_S = 0. \end{aligned} \quad (33)$$

If  $\text{supp } u \subseteq \Omega_{\bar{x}_0, k, \eta} \setminus \Omega'_{\bar{x}_0, k, \eta, \eta'}$ , we integrate by parts in the inner products

$$\begin{aligned} &({}^tPu, (x_0 - \bar{x}_0)\partial_{x_0}u) + ((x_0 - \bar{x}_0)\partial_{x_0}u, {}^tPu) \\ &\leq \|\partial_{x_0}u\|^2 + \|\partial_{x_1}u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2}u\|^2 \\ &\quad + 2((x_0 - \bar{x}_0)(x_0 - \alpha(x'))\partial_{x_2}u, \partial_{x_2}u) \\ &\quad + 4((x_0 - \bar{x}_0)(x_0 - \alpha(x'))\partial_{x_2}\alpha(x')\partial_{x_2}u, \partial_{x_0}u) \\ &\quad + ({}^t(P - P_2)u, (x_0 - \bar{x}_0)\partial_{x_0}u) \\ &\quad + ((x_0 - \bar{x}_0)\partial_{x_0}u, {}^t(P - P_2)u). \end{aligned}$$

Then, for  $k$  small enough and taking into account Lemma 1, it follows

$$\begin{aligned} &\|\partial_{x_0}u\|^2 + \|\partial_{x_1}u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2}u\|^2 + \|u\|^2 \\ &\leq \varepsilon\|^tPu\|^2 + \varepsilon \left( \|\partial_{x_0}u\|^2 + \|\partial_{x_1}u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2}u\|^2 + \|u\|^2 \right) \\ &\quad + \varepsilon\|(x_0 - \alpha(x'))\partial_{x_2}u\|^2. \end{aligned}$$

Hence, for  $\varepsilon$  small enough, it results

$$\begin{aligned} & \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \|u\|^2 \\ & \leq \varepsilon \left( \|{}^t P u\|^2 + \|(x_0 - \alpha(x'))^{\frac{1}{2}} \partial_{x_2} u\|^2 \right). \end{aligned} \tag{34}$$

We estimate  $\|(x_0 - \alpha(x'))\partial_{x_2} u\|$ , as done in (18). Computing the inner products

$$({}^t P u, \partial_{x_0} u) + (\partial_{x_0} u, {}^t P u), \quad \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k, \eta} \setminus \Omega'_{\bar{x}_0, k, \eta},$$

and proceeding with the same technique, we deduce

$$\begin{aligned} & \|(x_0 - \alpha(x'))^{\frac{1}{2}} \partial_{x_2} u\|^2 + \int_{\Gamma} \left[ (\partial_{x_0} u)^2 + 2\partial_{x_1} \alpha(x') \partial_{x_0} u \partial_{x_1} u + (\partial_{x_1} u)^2 \right] d\sigma \\ & \leq \|{}^t P u\|^2 + |((x_0 - \alpha(x'))\partial_{x_2} u, \partial_{x_0} u)|. \end{aligned} \tag{35}$$

Making use of (34) and (35), we have

$$\begin{aligned} & \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \|u\|^2 \leq \varepsilon \|{}^t P u\|^2, \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k, \eta} \setminus \Omega'_{\bar{x}_0, k, \eta}. \end{aligned} \tag{36}$$

Since  $\Gamma \cap \Omega_{\bar{x}_0}$  has zero measure, without lost generality, we consider  $u \in C_0^\infty(\overline{\Omega})$  with  $\text{supp } u \subseteq \Omega_{\bar{x}_0, k} \setminus (\Gamma \cap \Omega_{\bar{x}_0})$ , where  $\Omega_{\bar{x}_0} = \{x \in \overline{\Omega} : x_0 = \bar{x}_0\}$ . Now, let  $\varphi \in C_0^\infty(\overline{\Omega})$  such that  $\varphi \equiv 1$  on  $\Omega'_{\bar{x}_0, k, \eta}$  and  $\varphi \equiv 0$  on  $\Omega_{\bar{x}_0, k, \eta} \setminus \Omega'_{\bar{x}_0, k, \eta, \eta'}$ . If  $u \in C_0^\infty(\overline{\Omega})$  such that  $\text{supp } u \subseteq \Omega_{\bar{x}_0, k, \eta}$  and  $D'u \cdot n'|_S = 0$ , we can apply (31) to  $\varphi u$  obtaining

$$\|(x_0 - \alpha(x'))\partial_{x_2} \varphi u\| \leq \varepsilon (\|\partial_{x_0} \varphi u\| + \|\partial_{x_1} \varphi u\|) + \varepsilon \|{}^t P \varphi u\|, \tag{37}$$

then it follows

$$\|\partial_{x_0} \varphi u\| + \|\partial_{x_1} \varphi u\| + \|(x_0 - \alpha(x'))\partial_{x_2} \varphi u\| + \|\varphi u\| \leq c \|{}^t P \varphi u\|. \tag{38}$$

On the other hand, by (36), it results

$$\begin{aligned} & \|\partial_{x_0} (1 - \varphi)u\| + \|\partial_{x_1} (1 - \varphi)u\| + \|(x_0 - \alpha(x'))\partial_{x_2} (1 - \varphi)u\| \\ & + \|(1 - \varphi)u\| \leq c \|{}^t P (1 - \varphi)u\|. \end{aligned} \tag{39}$$

Taking into account (37), (39) and for  $\varepsilon$  small enough, we have

$$\|(x_0 - \alpha(x'))\partial_{x_2} u\| \leq \varepsilon (\|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|{}^t P u\|).$$

As a consequence, (28) holds. Moreover, by (38) and (39) and for  $\varepsilon$  small enough, we obtain

$$\|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| \leq \varepsilon \|{}^t P u\|,$$

$$\forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k, \eta}, \quad D'u \cdot n'|_S = 0,$$

and, hence, (29) is also proved. □

The following results holds.

**Theorem 6** *For every  $\bar{x}_0 > 0$  and  $\varepsilon > 0$  there exists  $k > 0$  such that for every  $\eta \in ]\frac{4}{5}, 1[$  it results*

$$\begin{aligned} & \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| \leq c \|{}^t P u\|, \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k} \setminus \Omega_{\bar{x}_0, k, \eta}, \quad D'u \cdot n'|_S = 0. \end{aligned} \quad (40)$$

**Proof** Itegrating by parts in the following inner products

$$({}^t P u, (x_0 - \bar{x}_0)\partial_{x_0} u) + ((x_0 - \bar{x}_0)\partial_{x_0} u, {}^t P u),$$

we obtain

$$\begin{aligned} & \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \frac{1}{2} \|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \frac{1}{2} ((x_0 - \alpha(x'))^2 \partial_{x_2} u, \partial_{x_2} u) \\ & \quad + 2((x_0 - \bar{x}_0)(x_0 - \alpha(x'))\partial_{x_2} u, \partial_{x_2} u) \\ & \leq \varepsilon (\|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x'))\partial_{x_0} u\|^2 + c\|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 + \|{}^t P u\|^2). \end{aligned}$$

For  $c|x_0 - \bar{x}_0| < \varepsilon$ , taking into account that

$$\frac{1}{2} \left( (x_0 - \alpha(x'))^2 \partial_{x_2} u, \partial_{x_2} u \right) + 2((x_0 - \bar{x}_0)(x_0 - \alpha(x'))\partial_{x_2} u, \partial_{x_2} u) \geq 0,$$

in  $\Omega_{\bar{x}_0, k} \setminus \Omega_{\bar{x}_0, k, \eta}$ , for  $\frac{4}{5} < \eta < 1$ , by using Lemma 1 and for  $\varepsilon$  small enough, there exists  $k > 0$  such that

$$\begin{aligned} & \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| \leq \varepsilon \|{}^t P u\|, \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k} \setminus \Omega'_{\bar{x}_0, k, \eta, \eta'}, \quad D'u \cdot n'|_S = 0. \end{aligned}$$

As a consequence, the claim is achieved. □

Hence, we obtain the following theorem.

**Theorem 7** *Let us suppose that assumptions (i), (ii) and (iii) hold. Then, for every  $\bar{x}_0 > 0$  and for every  $\varepsilon > 0$  there exists  $k > 0$  such that*

$$\begin{aligned} & \|(x_0 - \alpha(x'))\partial_{x_2} u\| \leq \varepsilon (\|{}^t P u\| + \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|u\|), \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k}, \quad D'u \cdot n'|_S = 0. \end{aligned} \quad (41)$$

Moreover, for every  $\bar{x}_0 > 0$  there exist  $k > 0$  and  $c > 0$  such that

$$\begin{aligned} & \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| \leq c \|{}^t P u\|, \\ & \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_{\bar{x}_0, k}, \quad D'u \cdot n'|_S = 0. \end{aligned} \quad (42)$$

**Proof** Let  $\varphi \in C_0^\infty(\overline{\Omega})$  such that  $\varphi \equiv 1$  on  $\Omega_{\bar{x}_0, k, \eta_1} \cap \text{supp } u$ , and let  $\psi \in C_0^\infty(\overline{\Omega})$  such that  $\psi \equiv 1$  on  $\Omega_{\bar{x}_0, k} \setminus \Omega_{\bar{x}_0, k, \eta_1}$  and  $\psi \equiv 0$  on  $\Omega_{\bar{x}_0, k} \setminus \Omega_{\bar{x}_0, k, \eta_2}$ , with  $\frac{4}{5} < \eta_1 < \eta_2 < 1$ .

Applying Theorem 5 to  $\varphi u$ , Theorem 6 to  $\psi u$  and adding the obtained inequalities, the claims are achieved  $\square$

With analogous proof of Theorem 5, we are able to establish the following result.

**Theorem 8** *Let us suppose that assumptions (i), (ii) and (iii) hold. It results that for every  $\bar{x}_0 > 0$  and for every  $\varepsilon > 0$  there exists  $k > 0$  such that*

$$\begin{aligned} \|(x_0 - \alpha(x'))\partial_{x_2} u\| &\leq \varepsilon (\|{}^t P u\| + \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|u\|), \\ \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u &\subseteq \Omega_{\bar{x}_0, k, \eta}, \quad L' u \cdot n'|_S = 0. \end{aligned}$$

Moreover, for every  $\bar{x}_0 > 0$  there exist  $k > 0$  and  $c > 0$  such that

$$\begin{aligned} \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| &\leq c \|{}^t P u\|, \\ \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u &\subseteq \Omega_{\bar{x}_0, k, \eta}, \quad L' u \cdot n'|_S = 0. \end{aligned}$$

Now, we prove a useful estimate.

**Theorem 9** *For every  $\bar{x}_0 > 0$  and  $\varepsilon > 0$  there exists  $k > 0$  such that, for every  $\eta \in ]\frac{4}{5}, 1[$ , it results*

$$\begin{aligned} \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x'))\partial_{x_2} u\| + \|u\| &\leq c \|{}^t P u\|, \\ \forall u \in C_0^\infty(\Omega_{\bar{x}_0, k}) : \text{supp } u &\subseteq \Omega_{\bar{x}_0, k} \setminus \Omega_{\bar{x}_0, k, \eta}, \quad L' u \cdot n'|_S = 0. \end{aligned}$$

**Proof** Integrating by parts, we obtain

$$\begin{aligned} &({}^t P u, (x_0 - \bar{x}_0)\partial_{x_0} u) + ((x_0 - \bar{x}_0)\partial_{x_0} u, {}^t P u) \\ &= \|\partial_{x_0} u\|^2 + \frac{1}{2}\|\partial_{x_1} u\|^2 + \frac{1}{2}\|(x_0 - \alpha(x'))\partial_{x_2} u\|^2 \\ &\quad + \frac{1}{2}((x_0 - \alpha(x'))^2 \partial_{x_2} u, \partial_{x_2} u) \\ &\quad + 2((x_0 - \bar{x}_0)(x_0 - \alpha(x')) \partial_{x_2} u, \partial_{x_2} u) \\ &\quad + 4((x_0 - \bar{x}_0)(x_0 - \alpha(x')) \partial_{x_2} \alpha(x') \partial_{x_2} u, \partial_{x_0} u) \\ &\quad + 2 \int_S (\partial_{x_1} u \cdot n_1 + \beta^2(x) \partial_{x_2} u \cdot n_2)(x_0 - \bar{x}_0) \partial_{x_0} u d\sigma. \end{aligned} \tag{43}$$

On the other hand, as done in (25), we have

$$\begin{aligned} &\int_S (\tilde{a}_1(x)n_1 + \beta(x)\tilde{a}_2(x)n_2)u x_0 \partial_{x_0} u d\sigma \\ &= \frac{1}{2} \left( x_0 \partial_{x_1} \tilde{a}_1(x), \partial_{x_0} u^2 \right) - (\tilde{a}_1(x)u, \partial_{x_1} u) - (x_0 \partial_{x_0} \tilde{a}_1(x), \partial_{x_1} u) \\ &\quad + \frac{1}{2} (x_0 \partial_{x_2} ((x_0 - \alpha(x'))\tilde{a}_2(x)), \partial_{x_0} u^2) - ((x_0 - \alpha(x'))\tilde{a}_2(x)u, \partial_{x_2} u) \end{aligned}$$

$$-(x_0 \partial_{x_0} ((x_0 - \alpha(x')) \tilde{a}_2(x)) u, \partial_{x_2} u). \tag{44}$$

Making use of (43) and (44) and taking into account

$$\frac{1}{2} \left( (x_0 - \alpha(x'))^2 \partial_{x_2} u, \partial_{x_2} u \right) + 2 \left( (x_0 - \bar{x}_0)(x_0 - \alpha(x')) \partial_{x_2} u, \partial_{x_2} u \right) \geq 0,$$

for  $\eta \in ]\frac{4}{5}, 1[$ , we deduce

$$\begin{aligned} & \|\partial_{x_0} u\|^2 + \|\partial_{x_1} u\|^2 + \|(x_0 - \alpha(x')) \partial_{x_2} u\|^2 \\ & \leq \left( \|(x_0 - \bar{x}_0)^{\frac{1}{2}} \partial_{x_0} u\|^2 + \|(x_0 - \bar{x}_0)^{\frac{1}{2}} \partial_{x_1} u\|^2 + \|(x_0 - \bar{x}_0)^{\frac{1}{2}} (x_0 - \alpha(x')) \partial_{x_2} u\|^2 \right. \\ & \quad \left. + \|(x_0 - \bar{x}_0)^{\frac{1}{2}} u\|^2 + \|(x_0 - \bar{x}_0)^{\frac{1}{2}} {}^t P u\|^2 \right). \end{aligned}$$

Then, by using Lemma 1, the claim follows taking  $|x_0 - \bar{x}_0| > k$ , with  $k$  small enough. □

Proceeding as in the proof of Theorem 7, we obtain the following theorem.

**Theorem 10** *Let us suppose that assumptions (i), (ii) and (iii) hold. Then, for every  $\bar{x}_0 > 0$  and for every  $\varepsilon > 0$  there exists  $k > 0$  such that*

$$\begin{aligned} \|(x_0 - \alpha(x')) \partial_{x_2} u\| & \leq \varepsilon \left( \|{}^t P u\| + \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|u\| \right), \\ \forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u & \subseteq \Omega_{\bar{x}_0, k}, \quad L' u \cdot n'|_S = 0. \end{aligned}$$

Moreover, for every  $\bar{x}_0 > 0$  there exist  $k > 0$  and  $c > 0$  such that

$$\begin{aligned} \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x')) \partial_{x_2} u\| + \|u\| & \leq c \|{}^t P u\|, \\ \forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u & \subseteq \Omega_{\bar{x}_0, k}, \quad L' u \cdot n'|_S = 0. \end{aligned}$$

As a consequence, the next result holds.

**Theorem 11** *Let us suppose that assumptions (i), (ii) and (iii) hold. Then, for every  $\bar{x}_0 > 0$  and for every  $\varepsilon > 0$  there exists  $k > 0$  such that*

$$\begin{aligned} \|(x_0 - \alpha(x')) \partial_{x_2} u\| & \leq \varepsilon \left( \|{}^t P u\| + \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|u\| \right), \\ \forall u \in C_0^\infty(\tilde{\Omega}) : \text{supp } u & \subseteq \tilde{\Omega}_{\bar{x}_0, k} = [\bar{x}_0, \bar{x}_0 + k[ \times \tilde{\Omega}. \end{aligned}$$

Moreover, for every  $\bar{x}_0 > 0$  there exist  $k > 0$  and  $c > 0$  such that

$$\begin{aligned} \|\partial_{x_0} u\| + \|\partial_{x_1} u\| + \|(x_0 - \alpha(x')) \partial_{x_2} u\| + \|u\| & \leq c \|{}^t P u\|, \\ \forall u \in C_0^\infty(\tilde{\Omega}) : \text{supp } u & \subseteq \tilde{\Omega}_{\bar{x}_0, k}. \end{aligned}$$

## 5 A priori estimates in Sobolev spaces with $s < 0$

First of all, let us obtain a priori estimate in Sobolev spaces with  $s < 0$  by using the theory of pseudodifferential operators.

**Theorem 12** *Let us suppose that assumptions (i), (ii) and (iii) hold. For every  $\bar{x}_0 \geq 0$  and for every  $s < 0$  there exist  $k > 0$  and  $c > 0$  such that*

$$\begin{aligned} \|\partial_{x_0} u\|_{H^{0,s}} + \|\partial_{x_1} u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2} u\|_{H^{0,s}} + \|u\|_{H^{0,s}} &\leq c \|{}^t P u\|_{H^{0,s}}, \\ \forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u \subseteq [\bar{x}_0, \bar{x}_0 + k] \times \Omega_0 = \Omega_{\bar{x}_0, k}, D' u \cdot n'|_S = 0. \end{aligned} \quad (45)$$

**Proof** Let  $u \in C_0^\infty(\bar{\Omega}_{\bar{x}_0, k})$ , let  $\varphi \in C_0^\infty(\bar{\Omega})$  such that  $\text{supp } \varphi \subseteq \bar{\Omega}_{\bar{x}_0, k}$ ,  $D' \varphi \cdot n'|_S = 0$  and  $\varphi \equiv 1$  on the support of the projection of  $u$  on the plane  $x_0 = \bar{x}_0$ . Let us set  $v_s = \varphi(x') A_s u$ . Applying the claims of Theorems 4 and 11 if we have  $\bar{x}_0 = 0$  or  $\bar{x}_0 \neq 0$ , respectively, it results

$$\|\partial_{x_0} v_s\| + \|\partial_{x_1} v_s\| + \|(x_0 - \alpha(x'))\partial_{x_2} v_s\| + \|v_s\| \leq c \|{}^t P v_s\|. \quad (46)$$

We remark that

$$\begin{aligned} \|\partial_{x_0} v_s\| &= \|\partial_{x_0} \varphi(x') A_s u\| \\ &= \|\varphi(x') A_s \partial_{x_0} u\| \\ &= \|A_s \varphi(x') \partial_{x_0} u + [\varphi, A_s] \partial_{x_0} u\| \\ &\geq \|A_s \partial_{x_0} u\| - \|R \partial_{x_0} u\| \\ &\geq \|\partial_{x_0} u\|_{H^{0,s}} - \|R \partial_{x_0} u\|, \end{aligned} \quad (47)$$

where  $R = [\varphi, A_s] u$  is a regularizing operator with respect to the variable  $x'$ .

On the other hand, making use of Lemma 1 and taking into account that

$$\begin{aligned} \partial_{x_0}^2 u &= -{}^t P u + \partial_{x_1}^2 u + (x_0 - \alpha(x'))^2 \partial_{x_2}^2 u + 4(x_0 - \alpha(x')) \partial_{x_2} \alpha \partial_{x_2} u \\ &\quad - \frac{1}{i} \sum_{j=0}^2 a(x) \partial_{x_j} u - \frac{1}{i} \sum_{j=0}^2 \partial_{x_j} a(x) u - 2(\partial_{x_2} \alpha)^2 u + b(x) u, \end{aligned}$$

we obtain

$$\begin{aligned} \|R \partial_{x_0} u\| &\leq \|R(x_0 - \bar{x}_0) \partial_{x_0}^2 u\| \\ &\leq c \|(x_0 - \bar{x}_0) {}^t P u\|_{H^{0,s}} + c \|(x_0 - \bar{x}_0) \partial_{x_0} u\|_{H^{0,s}} \\ &\quad + c \|(x_0 - \bar{x}_0) u\|_{H^{0,s}}. \end{aligned} \quad (48)$$

Making use of (47) and (48), it follows

$$\begin{aligned} \|\partial_{x_0} v_s\| &\geq \|\partial_{x_0} u\|_{H^{0,s}} - c \|(x_0 - \bar{x}_0) {}^t P u\|_{H^{0,s}} - c \|(x_0 - \bar{x}_0) \partial_{x_0} u\|_{H^{0,s}} \end{aligned}$$

$$-c\|(x_0 - \bar{x}_0)u\|_{H^{0,s}}. \tag{49}$$

Then choosing  $k$  small enough and  $|x_0 - \bar{x}_0| < k$ , it results

$$\|\partial_{x_0} v_s\| \geq \|\partial_{x_0} u\|_{H^{0,s}} - c\|{}^t P u\|_{H^{0,s}}.$$

Proceeding with the same technique, we easily obtain that

$$\begin{aligned} \|\partial_{x_1} v_s\| &\geq \|\partial_{x_1} u\|_{H^{0,s}} - c\|u\|_{H^{0,s}} \\ &\geq \|\partial_{x_1} u\|_{H^{0,s}} - c\|(x_0 - \bar{x}_0)\partial_{x_0} u\|_{H^{0,s}}, \end{aligned} \tag{50}$$

where we applied Lemma 1. Adding (49) and (50), for  $|x_0 - \bar{x}_0| < k$  with  $k$  small enough, we deduce

$$\|\partial_{x_0} v_s\| + \|\partial_{x_1} v_s\| \geq \|\partial_{x_0} u\|_{H^{0,s}} + \|\partial_{x_1} u\|_{H^{0,s}} - c\|{}^t P u\|_{H^{0,s}}. \tag{51}$$

With analogous computations, we have

$$\|(x_0 - \alpha(x'))\partial_{x_2} v_s\| \geq \|A_s(x_0 - \alpha(x'))\varphi(x')\partial_{x_2} u\| - \|Ru\| - \|B_{s-1}\partial_{x_1} u\|, \tag{52}$$

where  $B_{s-1}$  is a pseudodifferential operator of order  $s - 1$ . As a consequence,  $B_{s-1}\partial_{x_2}$  is a pseudodifferential operator of order  $s$ . By using the continuity property of pseudodifferential operators, it results

$$\|(x_0 - \alpha(x'))\partial_{x_2} v_s\| \geq \|(x_0 - \alpha(x'))\partial_{x_2} u\|_{H^{0,s}} - c\|(x_0 - \bar{x}_0)\partial_{x_1} u\|_{H^{0,s}}. \tag{53}$$

Adding (51) and (53), for  $|x_0 - \bar{x}_0| < k$  with  $k$  small enough, it follows

$$\begin{aligned} \|\partial_{x_0} v_s\| + \|\partial_{x_1} v_s\| + \|(x_0 - \alpha(x'))\partial_{x_2} v_s\| \\ \geq \|\partial_{x_0} u\|_{H^{0,s}} + \|\partial_{x_1} u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2} u\|_{H^{0,s}} - c\|{}^t P u\|_{H^{0,s}}. \end{aligned} \tag{54}$$

Finally we have

$$\begin{aligned} \|{}^t P v_s\| &\leq \|A_s {}^t P u\| + \|R' {}^t P u\| + \|\varphi(x')[{}^t P, A_s]u\| + \|Ru\| \\ &\leq \|{}^t P u\|_{H^{0,s}} + \|(x_0 - \bar{x}_0)\partial_{x_0} u\|_{H^{0,s}} + \|\varphi(x')[{}^t P, A_s]u\|, \end{aligned} \tag{55}$$

where  $R'$  and  $R$  are regularizing operators. Moreover, it results

$$\varphi(x')[{}^t P, A_s]u = \varphi(x')[{}^t P_2, A_s]u + \varphi(x')[{}^t P_1, A_s]u + \varphi(x')[{}^t P_0, A_s]u. \tag{56}$$

Evidently, we have

$$[{}^t P_2, A_s]u = B_{s+1}u + B_s u,$$

where  $B_{s+1}$  and  $B_s$  are pseudodifferential operators of order  $s + 1$  and  $s$ , respectively. The principal symbol of  $B_{s+1}$  is

$$b(x, \xi') = -\frac{1}{i} \left( 2(x_0 - \alpha(x'))(-\partial_{x_1} \alpha(x'))\xi_2^2 \right) \varphi(x') \partial_{\xi_1} (1 + |\xi'|^2)^{\frac{s}{2}} - \frac{1}{i} \left( 2(x_0 - \alpha(x'))(-\partial_{x_2} \alpha(x'))\xi_2^2 \right) \varphi(x') \partial_{\xi_2} (1 + |\xi'|^2)^{\frac{s}{2}}$$

As a consequence,  $B_{s+1}u = (x_0 - \alpha(x'))\partial_{x_2} B_s''u + B_s'u$ , where  $B_s''$  and  $B_s'$  are pseudodifferential operators of order  $s$ .

Making use of (41), we obtain

$$\begin{aligned} \|B_{s+1}u\| &= \|(x_0 - \alpha(x'))\partial_{x_2} B_s''u + B_s'u\| \\ &\leq \varepsilon (\|{}^t P B_s''u\| + \|\partial_{x_0} B_s''u\| + \|\partial_{x_1} B_s''u\| + \|B_s'u\|) + \|B_s'u\|. \end{aligned}$$

Then, it follows

$$\begin{aligned} \|\varphi(x')[{}^t P_2, A_s]u\| &\leq \varepsilon (\|{}^t P B_s''u\| + \|\partial_{x_0} B_s''u\| \\ &\quad + \|\partial_{x_1} B_s''u\| + \|B_s''u\|) + \|B_s u\| + \|B_s' u\| \\ &\leq \varepsilon (\|{}^t P u\|_{H^{0,s}} + \| [{}^t P, B_s'']u\| + \|\partial_{x_0} u\|_{H^{0,s}} + \|[\partial_{x_0}, B_s'']u\| + \|\partial_{x_1} u\|_{H^{0,s}} \\ &\quad + \|\partial_{x_1}, B_s''u\| + \|u\|_{H^{0,s}}) + \|B_s(x_0 - \bar{x}_0)\partial_{x_0} u\| + \|B_s'(x_0 - \bar{x}_0)\partial_{x_0} u\| \\ &\leq \varepsilon (\|{}^t P u\|_{H^{0,s}} + \|\partial_{x_0} u\|_{H^{0,s}} + \|\partial_{x_1} u\|_{H^{0,s}} + \|u\|_{H^{0,s}}), \end{aligned} \tag{57}$$

where we considered  $|x_0 - \bar{x}_0| < k < \varepsilon$ .

On the other hand, it results

$$\varphi(x')[{}^t P_1, A_s]u = B_{s-1}\partial_{x_0} u + B_s u + B_{s-1}u.$$

Taking into account Lemma 1, we have

$$\begin{aligned} \|\varphi(x')[{}^t P_1, A_s]u\| &\leq \|B_{s-1}\partial_{x_0} u\| + \|B_s u\| + \|B_{s-1}u\| \\ &\leq c\|(x_0 - \bar{x}_0)B_{s-1}\partial_{x_0}^2 u\| + c\|u\|_{H^{0,s}} \\ &\leq c\|(x_0 - \bar{x}_0)B_{s-1}P u\| + c\|(x_0 - \bar{x}_0)B_s \partial_{x_1} u\| \\ &\quad + c\|(x_0 - \bar{x}_0)B_s'(x_0 - \alpha(x'))\partial_{x_2} u\| \\ &\quad + c\|(x_0 - \bar{x}_0)B_s''u\| + c\|(x_0 - \bar{x}_0)\partial_{x_0} u\|_{H^{0,s}} \\ &\leq c\|(x_0 - \bar{x}_0)P u\|_{H^{0,s}} + c\|(x_0 - \bar{x}_0)\partial_{x_1} u\|_{H^{0,s}} \\ &\quad + c\|(x_0 - \bar{x}_0)(x_0 - \alpha(x'))\partial_{x_2} u\|_{H^{0,s}} \\ &\quad + c\|(x_0 - \bar{x}_0)u\|_{H^{0,s}} + c\|(x_0 - \bar{x}_0)\partial_{x_0} u\|_{H^{0,s}} \\ &\leq \varepsilon (\|P u\|_{H^{0,s}} + \|\partial_{x_0} u\|_{H^{0,s}} + \|\partial_{x_1} u\|_{H^{0,s}} \\ &\quad + \|(x_0 - \alpha(x'))\partial_{x_2} u\|_{H^{0,s}} + \|u\|_{H^{0,s}}), \end{aligned} \tag{58}$$

where  $B_s, B_s', B_s''$  are pseudodifferential operators of order  $s$ ,  $B_{s-1}$  is a pseudodifferential operator of order  $s - 1$  and we supposed that  $0 < |x_0 - \bar{x}_0| < \frac{\varepsilon}{c}$ .



It is easy to obtain

$$\begin{aligned} \|\varphi(x')[{}^t P_0, A_s]u\| &\leq c\|u\|_{H^{0,s}} \\ &\leq c\|(x_0 - \bar{x}_0)\partial_{x_0}u\|_{H^{0,s}} \\ &\leq \varepsilon\|\partial_{x_0}u\|_{H^{0,s}}^2. \end{aligned} \quad (59)$$

By using (57), (58), (59), it follows

$$\begin{aligned} \|\varphi(x')[{}^t P, A_s]u\| \\ \leq \varepsilon(\|{}^t Pu\|_{H^{0,s}} + \|\partial_{x_0}u\|_{H^{0,s}} + \|\partial_{x_1}u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2}u\|_{H^{0,s}} + \|u\|_{H^{0,s}}). \end{aligned}$$

Making use of (46), (54) and the previous estimate, the claim is achieved.  $\square$

With analogous techniques used to prove Theorem 12 but making use of Theorems 3 and 10 instead of Theorems 4 and 11, respectively, we can establish the following relevant estimate.

**Theorem 13** *Let us suppose that assumptions (i), (ii) and (iii) hold. For every  $\bar{x}_0 \geq 0$  and for every  $s < 0$  there exist  $k > 0$  and  $c > 0$  such that*

$$\begin{aligned} \|\partial_{x_0}u\|_{H^{0,s}} + \|\partial_{x_1}u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2}u\|_{H^{0,s}} + \|u\|_{H^{0,s}} &\leq c\|{}^t Pu\|_{H^{0,s}}, \\ \forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u \subseteq [\bar{x}_0, \bar{x}_0 + k[ \times \Omega_0 = \Omega_{\bar{x}_0,k}, L'u \cdot n'|_S = 0. \end{aligned}$$

## 6 Global estimates

Now, we obtain a global estimate very useful in order to prove the existence of a solution to the Cauchy–Neumann problem (1).

**Theorem 14** *Let us suppose that assumptions (i), (ii) and (iii) hold. For every  $h > 0$  and  $s \leq 0$  there exists  $c > 0$  such that*

$$\begin{aligned} \|\partial_{x_0}u\|_{H^{0,s}} + \|\partial_{x_1}u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2}u\|_{H^{0,s}} + \|u\|_{H^{0,s}} &\leq c\|{}^t Pu\|_{H^{0,-s}}, \\ \forall u \in C_0^\infty(\bar{\Omega}) : \text{supp } u \subseteq \Omega_h, D'u \cdot n'|_S = 0. \end{aligned}$$

**Proof** Let  $h > 0$ , setting  $\Omega_h = [0, h[ \times \Omega_0$ , for the compactness of  $[0, h] \times \bar{\Omega}_0$ , there exists a finite number of subsets  $\{\Omega_1, \Omega_2, \dots, \Omega_p\}$  of  $\Omega_h$ , given by

$$\Omega_1 = [0, k_1[ \times \Omega_0, \Omega_2 = [k'_1, k_2[ \times \Omega_0, \dots, \Omega_p = [k'_{p-1}, k_p[ \times \Omega_0,$$

with  $k_0 = 0, k_p = h, k_{i-1} < k'_i < k_i$ , for every  $i = 1, \dots, p$ , and such that (45) holds in every  $\Omega_i$ , for  $i = 1, \dots, p$ .

Let  $u \in C_0^\infty(\Omega_h)$ , with  $D'u \cdot n'|_S = 0$ , let  $\varphi \in C_0^\infty([0, k_1[)$ , with  $\varphi \equiv 1$  on  $[0, k'_1[$  and  $0 \leq \varphi \leq 1$  in  $[0, k_1[$ . Rewriting (45) for  $\varphi u$ , it results

$$\|\partial_{x_0}\varphi u\|_{H^{0,s}} + \|\partial_{x_1}\varphi u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2}\varphi u\|_{H^{0,s}} + \|\varphi u\|_{H^{0,s}}$$

$$\begin{aligned}
 &\leq c \|P\varphi u\|_{H^{0,s}} \\
 &\leq c \|Pu\|_{H^{0,s}} + c \|[P, \varphi]u\|_{H^{0,s}} \\
 &\leq c \|Pu\|_{H^{0,s}} + c \|\partial_{x_0}\varphi \partial_{x_0}u\|_{H^{0,s}} + c \|(\partial_{x_0}^2\varphi)u\|_{H^{0,s}} \\
 &\leq c \|Pu\|_{H^{0,s}} + c \|\partial_{x_0}u\|_{H^{0,s}([k'_1, k_1[ \times \Omega_0)} + c \|u\|_{H^{0,s}([k'_1, k_1[ \times \Omega_0)} \\
 &\leq c \|Pu\|_{H^{0,s}} + c \|\partial_{x_0}u\|_{H^{0,s}([k'_1, k'_2[ \times \Omega_0)} + c \|u\|_{H^{0,s}([k'_1, k'_2[ \times \Omega_0)} \\
 &\leq c \|Pu\|_{H^{0,s}} + c \|\partial_{x_0}\varphi_1 u\|_{H^{0,s}([k'_1, k_2[ \times \Omega_0)} + c \|\varphi_1 u\|_{H^{0,s}([k'_1, k_2[ \times \Omega_0)},
 \end{aligned}$$

where  $\varphi_1 \in C_0^\infty(\Omega_0)$  such that  $\text{supp } \varphi_1 \subseteq [k'_1, k_2[$ ,  $\varphi_1 \equiv 1$  in  $[k'_1, k'_2] \times \Omega_0$ .

We can deduce that

$$\begin{aligned}
 &\|\partial_{x_0}\varphi_{i-1}u\|_{H^{0,s}} + \|\partial_{x_1}\varphi_{i-1}u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2}\varphi_{i-1}u\|_{H^{0,s}} + \|\varphi_{i-1}u\|_{H^{0,s}} \\
 &\leq c \|Pu\|_{H^{0,s}} + c \|\partial_{x_0}\varphi_i u\|_{H^{0,s}([k'_i, k_{i+1}[ \times \Omega_0)} + c \|\varphi_i u\|_{H^{0,s}([k'_i, k_{i+1}[ \times \Omega_0)},
 \end{aligned}$$

where  $\varphi_0 = \varphi$  and  $\varphi_i \in C_0^\infty([0, h[)$  such that  $\text{supp } \varphi_i \subseteq [k'_i, k_{i+1}[$ , for every  $i = 1, \dots, p$ .

On the other hand, we have

$$\begin{aligned}
 &\|\partial_{x_0}\varphi_{p-1}u\|_{H^{0,s}} + \|\partial_{x_1}\varphi_{p-1}u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2}\varphi_{p-1}u\|_{H^{0,s}} + \|\varphi_{p-1}u\|_{H^{0,s}} \\
 &\leq c \|Pu\|_{H^{0,s}} + c \|\partial_{x_0}\varphi_p u\|_{H^{0,s}(\Omega_p)} + c \|\varphi_p u\|_{H^{0,s}(\Omega_p)} \\
 &\leq c \|Pu\|_{H^{0,s}} + c \left( \|\partial_{x_0}u\|_{H^{0,s}(\Omega_p)} + \|u\|_{H^{0,s}(\Omega_p)} \right) \\
 &\leq c \|Pu\|_{H^{0,s}}.
 \end{aligned} \tag{60}$$

By using (47), (60) and proceeding by recurrence on  $i$ , we easily obtain

$$\begin{aligned}
 &\|\partial_{x_0}\varphi_i u\|_{H^{0,s}} + \|\partial_{x_1}\varphi_i u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2}\varphi_i u\|_{H^{0,s}} \\
 &\quad + \|\varphi_i u\|_{H^{0,s}} \leq c \|Pu\|_{H^{0,s}},
 \end{aligned}$$

for  $i = 1, \dots, p$ . Taking into account the previous inequality, we have

$$\begin{aligned}
 &\|\partial_{x_0}u\|_{H^{0,s}} + \|\partial_{x_1}u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2}u\|_{H^{0,s}} + \|u\|_{H^{0,s}} \leq c \|Pu\|_{H^{0,s}}, \\
 &\quad \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_h, D'u \cdot n'|_S = 0.
 \end{aligned} \tag{61}$$

For the arbitrariness of  $h$ , (61) holds for every  $u \in C_0^\infty(\overline{\Omega})$  such that  $D'u \cdot n'|_S = 0$ . The proof is thereby completed.  $\square$

Proceeding analogously as in the proof of Theorem 14 but by using Theorems 3 and 10 instead of Theorems 2 and 7, respectively, we obtain a global estimate for the Cauchy–Robin problem (2).

**Theorem 15** *Let us suppose that assumptions (i), (ii) and (iii) hold. For every  $h > 0$  and  $s \leq 0$  there exists  $c > 0$  such that*

$$\|\partial_{x_0}u\|_{H^{0,s}} + \|\partial_{x_1}u\|_{H^{0,s}} + \|(x_0 - \alpha(x'))\partial_{x_2}u\|_{H^{0,s}} + \|u\|_{H^{0,s}} \leq c \|{}^t Pu\|_{H^{0,-s}},$$

$$\forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_h, L'u \cdot n'|_S = 0.$$

### 7 Existence and regularity results

This section is devoted to establish existence and regularity results for the Cauchy–Neumann problem (1) and the Cauchy–Robin problem (2).

**Theorem 16** *Let  $f \in H_{loc}^r(\overline{\Omega})$ , with  $r \geq 2$ . Then, for every  $h > 0$  there exists  $v \in H^{0,s}(\Omega_h)$ , with  $0 \leq s \leq r$  such that*

$$(v, {}^tPu) = (f, u), \quad \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_h, D'u \cdot n'|_S = 0.$$

**Proof** Let  $B'$  be the subspace of distributions of  $H^{0,-s}(\Omega_h)$  defined on test functions  $\varphi \in C_0^\infty([0, h[ \times \overline{\Omega}_0)$  such that  $D'\varphi \cdot u'|_S = 0$ . Let  $B$  be contained in  $B'$ . Let us define a linear continuous functional in  $B$ , as follows

$$F(\psi) = F({}^tPu) = (f, u), \quad \forall \psi \in B.$$

Taking into account Theorem 12, it results

$$|F(\psi)| = |(f, u)| \leq \|f\|_{H^{0,s}} \|u\|_{H^{0,-s}} \leq c \|f\|_{H^{0,s}} \|{}^tPu\|_{H^{0,-s}} \leq c' \|\psi\|_{H^{0,-s}}.$$

As a consequence, we can extend  $F$  in  $H^{0,-s}(\Omega_h) \cap B'$ . Making use of a representation theorem, there exists  $v \in H^{0,s}(\Omega_h) \cap B'^*$  such that

$$F(w) = (v, w), \quad \forall w \in H^{0,-s}(\Omega_h).$$

Then, it results

$$F(\psi) = (v, \psi) = (v, {}^tPu) = (f, u), \quad \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_h, D'u \cdot n'|_S = 0.$$

□

We proved that for every  $h > 0$  there exists  $v \in H^{0,s}(\Omega_h)$ , with  $0 \leq s \leq r$ , such that

$$Pv = f, \quad \text{in the sense of distributions.}$$

Hence,  $v$  verifies the following equality:

$$\partial_{x_0}^2 v + a_0(x) \partial_{x_0} v + b(x)v = f + \left( P - \partial_{x_0}^2 - a_0(x) \partial_{x_0} - b(x) \right) v.$$

Since  $f + \left( P - \partial_{x_0}^2 - a_0(x) \partial_{x_0} - b(x) \right) v \in H^{0,r-2}(\Omega_h)$ , we have  $v \in H^{2,r-2}(\Omega_h)$ . Therefore, proceeding by induction, we deduce

$$v \in H^{s,r-s}(\Omega_h), \quad \forall s \leq r.$$

As a consequence, it follows

$$v \in H^r(\Omega_h).$$

Then, there exists  $v \in H^r(\Omega_h)$ , with  $r \geq 2$ , such that

$$(v, {}^t P u) = (f, u), \quad \forall u \in C_0^\infty(\Omega_h) : D' u \cdot n'|_S = 0. \quad (62)$$

Taking into account (62) and integrating by parts, we obtain

$$(P v, u) = (f, u), \quad \forall u \in C_0^\infty(\text{int } \Omega_h). \quad (63)$$

Hence, it results

$$P v = f, \quad \text{a.e. in } \Omega_h.$$

Integrating again by parts in (62), for every  $u \in C_0^\infty(\Omega_h)$  such that  $u|_{\Omega_0} = 0$ ,  $\partial_{x_0} u|_{\Omega_0} = 0$ ,  $D' u \cdot n'|_S = 0$ , we have

$$\begin{aligned} (P v, u) - \int_S \left( \partial_{x_1} v \cdot n_1 + (x_0 - \alpha(x'))^2 \partial_{x_2} v \cdot n_2 - a_1(x) n_1 v - a_2(x) n_2 v \right) u d\sigma \\ = (f, u), \end{aligned} \quad (64)$$

which implies

$$\int_S (L' v \cdot n') u d\sigma = 0.$$

Then, it follows

$$L' v \cdot n'|_S = 0.$$

Finally, integrating again by parts in (62), for every  $u \in C_0^\infty(\Omega_h)$  such that  $u|_S = 0$ ,  $\partial_{x_i} u|_S = 0$ , with  $i = 1, 2$ , and supposing that either  $\partial_{x_0} u|_{\Omega_0} = 0$  or  $u|_{\Omega_0} = 0$ , we get

$$(P v, u) - \int_{\Omega_0} v \partial_{x_0} u dx_1 dx_2 = (f, u). \quad (65)$$

As a consequence, it results

$$v|_{\Omega_0} = 0.$$

Moreover, we have

$$(P v, u) - \int_{\Omega_0} \partial_{x_0} v \cdot u dx_1 dx_2 = (f, u). \quad (66)$$

Hence, we obtain

$$\partial_{x_0} v|_{\Omega_0} = 0.$$

Making use of (63), (64), (65) and (66), it follows that there exists  $v \in H^r(\Omega_h)$  such that

$$\begin{cases} Pv = f, & \text{in } \Omega_h, \\ v|_{\Omega_0} = 0, \frac{dv}{dn}|_{\Omega_0} = 0, L'v \cdot n'|_S = 0. \end{cases}$$

Instead if  $B$  is the space of functions  $\psi = {}^tPu$ , with  $u \in C_0^\infty(\overline{\Omega})$  such that  $\text{supp } u \subseteq \overline{\Omega}_h$  and  $L'u \cdot n'|_S = 0$ , proceeding as done before, we obtain the claim.

Moreover, with analogous proof of Theorem 16 but applying Theorem 13 instead of Theorem 12 and considering as  $B'$  the subspace of distributions of  $H^{0,-s}(\Omega_h)$  defined on test functions  $\varphi \in C^\infty([0, h[ \times \overline{\Omega}_0)$  such that  $L'\varphi \cdot n'|_S = 0$ , the following results holds.

**Theorem 17** *Let  $f \in H_{loc}^r(\overline{\Omega})$ , with  $r \geq 2$ . Then, for every  $h > 0$  there exists  $v \in H^{0,s}(\Omega_h)$ , with  $0 \leq s \leq r$  such that*

$$(v, {}^tPu) = (f, u), \quad \forall u \in C_0^\infty(\overline{\Omega}) : \text{supp } u \subseteq \Omega_h, L'u \cdot n'|_S = 0. \quad (67)$$

Integrating by parts (67), as done before, it follows that there exists  $v \in H^r(\Omega_h)$  such that

$$\begin{cases} Pv = f, & \text{in } \Omega_h, \\ v|_{\Omega_0} = 0, \frac{dv}{dn}|_{\Omega_0} = 0, D'v \cdot n'|_S = 0. \end{cases}$$

with  $f \in H_{loc}^r(\overline{\Omega})$ .

### 8 Uniqueness of the solution

In order to establish the uniqueness of a solution to the problem (1), we prove, as a first step, the existence of a solution to the following problems

$$\begin{cases} {}^tPw = f, & \text{in } \Omega_h = ]0, h[ \times \Omega_0 \\ w(h, x') = 0, \partial_{x_0} w(h, x') = 0, D'w \cdot n'|_S = 0 \end{cases} \quad (68)$$

and

$$\begin{cases} {}^tPw = f, & \text{in } \Omega_h = ]0, h[ \times \Omega_0 \\ w(h, x') = 0, \partial_{x_0} w(h, x') = 0, L'w \cdot n'|_S = 0 \end{cases} \quad (69)$$

with  $f \in H^r(\Omega_h)$ . To this aim, we can proceed in analogous way as done in the proofs of the theorems in Sects. 4, 5, 6, 7 considering, for every  $\bar{x}_0 \in ]0, h[$ ,

$$\begin{aligned} \Omega_{\bar{x}_0,k} &= \{x \in \bar{\Omega} : x_0 \in ]\bar{x}_0 - k, \bar{x}_0[ \times \Omega_0\}, \\ \Omega_{\bar{x}_0,k,\eta} &= \left\{x \in \Omega_{\bar{x}_0,k} : \left(\frac{1}{5} + \eta\right)\alpha(x') + \left(\frac{4}{5} - \eta\right)\bar{x}_0 \leq x_0 \leq \eta\bar{x}_0 + (1 - \eta)\alpha(x')\right\}, \\ \Omega'_{\bar{x}_0,k,\eta} &= \{x \in \Omega_{\bar{x}_0,k,\eta} : |\partial_{x_1}\alpha(x')| \geq 1\}, \\ \Omega'_{\bar{x}_0,k,\eta,\eta'} &= \{x \in \Omega_{\bar{x}_0,k,\eta} : |\partial_{x_1}\alpha(x')| \geq 1 - \eta'\}, \end{aligned}$$

where  $\frac{4}{5} < \eta < 1$  and  $0 < k < h$ , and the operator  ${}^tP$  instead of the operator  $P$ . With these modifications and under assumptions (i), (ii) and (iii), we obtain that there exist solutions to problems (68) and (69), with  $f \in H^r_{loc}(\Omega_0)$ . As a consequence, there exists a solution  $w \in C^\infty(\Omega_h)$  to the problem

$$\begin{cases} {}^tPw = 0, & \text{in } \Omega_h, \\ w(h, x') = 0, \partial_{x_0}w(h, x') = \varphi(x'), D'w \cdot n'|_S = 0, \end{cases} \tag{70}$$

and exists a solution  $w \in C^\infty(\Omega_h)$  to the problem

$$\begin{cases} {}^tPw = 0, & \text{in } \Omega_h, \\ w(h, x') = 0, \partial_{x_0}w(h, x') = \varphi(x'), L'w \cdot n'|_S = 0 \end{cases} \tag{71}$$

with  $\varphi \in C^\infty_0(\Omega_0)$ .

Now, if  $v \in H^r_{loc}(\Omega_{h'})$ , with  $r \geq 2$ , is a solution to the problem

$$\begin{cases} Pv = 0, & \text{in } \Omega_{h'} = ]0, h'[ \times \Omega_0, \text{ with } h' \geq h, \\ v(0, x') = 0, \partial_{x_0}v(0, x') = 0, L'v \cdot n'|_S = 0, \end{cases}$$

and  $w$  is a solution to (70), it results

$$0 = (v, {}^tPw) = (Pv, w) + \int_{\Omega_0} v(h, x')\varphi(x')dx' = \int_{\Omega_0} v(h, x')\varphi(x')dx'.$$

For the arbitrary of  $\varphi$ , it follows that  $v(h, x') = 0$ . Hence, we get that  $v = 0$  in  $\Omega_{h'} = ]0, h'[ \times \Omega_0$ . Moreover, for the arbitrary of  $h'$ , it results that, under assumptions (i) and (ii), the problem

$$\begin{cases} Pv = f, & \text{in } \Omega = ]0, +\infty[ \times \Omega_0, \\ v|_{\Omega_0} = 0, \partial_{x_0}v|_{\Omega_0} = 0, L'v \cdot n'|_S = 0, \end{cases}$$

with  $f \in H_{loc}^2(\overline{\Omega})$ , admits a unique solution  $v \in H_{loc}^r(\overline{\Omega})$ , with  $r \geq 2$ . Instead, let  $v \in H_{loc}^r(\overline{\Omega})$  be a solution to the problem

$$\begin{cases} Pv = 0, & \text{in } \Omega = ]0, h'[ \times \Omega_0, \text{ with } h' \geq h \\ v(0, x') = 0, \quad \partial_{x_0} v(0, x') = 0, \quad D'v \cdot n'|_S = 0, \end{cases}$$

and  $w$  is a solution to (71), it follows

$$0 = (v, {}^t Pw) = (Pv, w) + \int_{\Omega_0} v(h, x')\varphi(x')dx' = \int_{\Omega_0} v(h, x')\varphi(x')dx'.$$

Therefore, under assumptions (i), (ii) and (iii), also the problem

$$\begin{cases} Pv = f, & \text{in } \Omega = ]0, +\infty[ \times \Omega_0, \\ v|_{\Omega_0} = 0, \quad \partial_{x_0} v|_{\Omega_0} = 0, \quad D'v \cdot n'|_S = 0, \end{cases}$$

with  $f \in H_{loc}^r(\overline{\Omega})$ , admits a unique solution.

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